EASTERN WASHINGTON UNIVERSITY

AND

UNITED FACULTY OF EASTERN WASHINGTON UNIVERSITY

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PREAMBLE

Eastern Washington University (the "University") and the United Faculty of Eastern Washington University (the "UFE") (collectively, the “Parties”) agree as follows:

ARTICLE 1: ACADEMIC ORGANIZATION

1.1 **Principles.**

1.1.1 The University’s academic organizational structure should reflect and promote the mission, academic plan and programs of the University. It is based upon a multidimensional framework, recognizing different organizational needs of various units, yet providing for equitable consideration of all programs within institutional goals and policies.

1.1.2 Teaching will remain the primary function of faculty members at the University; however, departments may need faculty who can fulfill a variety of roles and not all faculty will have the same roles or responsibilities in a department.

1.1.3 The University should provide every faculty member with:

   (a) An opportunity for professional development within the resources and strategic plan of the University; and

   (b) A clear understanding of the conditions of employment, particularly the policies and procedures governing retention, tenure and promotion.

1.2 **Shared Responsibilities.** The academic administration of the University shall be based upon the principle of shared responsibility in governance. Organizational procedures will place decision accountability as close as possible to the most adequate and appropriate source of expertise and information, and provide for specific evaluation and review of all operations.

1.2.1 The structure should promote effective and efficient management as directly and simply as possible.

1.2.2 Every program unit (departments, centers, colleges, schools, etc.) will prepare explicit goal statements which will be reviewed regularly, both for consistency with institutional mission and goals and for relationship to program productivity.

1.2.3 The faculty will participate in the selection of academic administrative personnel.
1.3 Department and College Policies and Procedures.

1.3.1 Each college shall develop Policies and Procedures (“P&P”) and a mission statement that are consistent with the University Policies and Procedures and mission. The college P&P, upon approval by a ballot of the college faculty affected by the issues in the P&P, are forwarded to the Chief Academic Officer for final approval. Review of the college P&P will be conducted at least every three (3) years, and any proposed revisions will be approved by a ballot as described in this paragraph.

1.3.2 Each department shall develop P&P and a mission statement that are consistent with the respective college P&P and mission. Department P&P, upon approval by a ballot of the department faculty affected by the issues in the P&P, are submitted to the dean for preliminary approval and forwarded to the Chief Academic Officer for final approval. Review of the department P&P will be conducted at least every three (3) years, and any proposed revisions will be approved by a ballot as described in this paragraph.

1.3.3 The Chief Academic Officer’s approval of department and college P&P does not imply approval or disapproval of individual Faculty Activity Plans (“FAP”) as defined below.

1.3.4 Colleges and departments shall develop strategic plans and goals which are consistent with the University strategic plan and make recommendations about how resources will be allocated to accomplish their plans and goals.

1.3.5 Assessment plans must be a component of both college and department strategic plans and shall be consistent with the University’s Assessment Plan. All plans shall include comprehensive measures of student outcomes and competency by major. These plans shall be filed with the college dean and the Office of Institutional Research, Demography, and Assessment.

ARTICLE 2: APPOINTMENT, ASSIGNMENT, RANK AND PROMOTION

2.1 Faculty Appointments. A full-time contract year, except for Librarians, is the three (3) quarters of fall, winter and spring. Summer session appointments are by separate contracts.

2.1.1 Faculty status.

(a) Probationary appointments

(i) Assistant Professor

(ii) Associate Professor

(iii) Full Professor
(iv) Librarian II
(v) Librarian III
(vi) Librarian IV

(b) Tenured Appointments
(i) Associate Professor
(ii) Full Professor
(iii) Librarian III
(iv) Librarian IV

(c) Special Faculty
(i) Lecturer/Library Associate
(ii) Senior Lecturer/Senior Library Associate
(iii) Faculty in Residence

(d) Quarterly faculty who teach 1/6 time or more in any academic year or are appointed to .17 or greater FTE assignment as a library faculty member.

2.1.2 Authority for Appointment and Reappointment. All faculty appointments and reappointments are made upon the recommendation of the Chief Academic Officer and the President to the Board of Trustees and are not effective until the Board of Trustees has taken formal action. Only those terms of employment that are made in writing to the appointees shall be binding upon the University.

2.1.3 Faculty Recruitment. The University will establish policies and procedures for faculty recruitment that are consistent with guidelines adopted by the American Association of University Professors. Departmental faculty members and the department chair will provide their recommendations regarding faculty candidates to the dean through the selection process described in the individual department and college P&P.

2.1.4 Additional Staffing. The parties recognize the vital role that tenured and tenure-track faculty play in academic life of the University, and share a commitment to emphasizing the role of tenured and tenure-track faculty in teaching at the University. Consistent with department needs and University resources, the University will give first consideration to adding tenure-track positions when adding staff to meet increased student demand.
2.1.5 New Faculty Appointments.

(a) All candidates must meet the qualifications for the rank to which they are appointed, and are expected to provide, either through professional experience or graduate experience, evidence and continuing potential for:

(i) Excellent teaching or librarianship that commands the respect of students and faculty as demonstrated through such measures as peer evaluations and student evaluations of teaching.

(ii) Important professional contributions of local, state or national significance.

(iii) Superior professional activity, scholarship and/or creative activity.

(iv) Working collegially, collaboratively and productively to achieve department, college and university goals.

(b) In addition to the minimum criteria for rank stated in this Article, colleges and departments may establish additional criteria for appointment and promotion.

(c) At the time of appointment, each faculty member will be informed of the criteria for retention, tenure and promotion as described in the departments’ and colleges’ P&P.

2.1.6 Appointment with Tenure. Faculty may be hired with tenure at the rank of Associate Professor, Full Professor, Librarian III or Librarian IV. The process for hiring with tenure must follow the hiring-in procedures as designated in the college and department plans, and it must include the full evaluation process for granting tenure by faculty of the department. Those hired in with tenure must, at least, meet the criteria and qualifications for the rank of Associate Professor or Librarian III as indicated in Sections 2.1.10 and 2.1.13.

2.1.7 Joint Appointments. Faculty may be appointed in two (2) or more departments/programs subject to the following conditions:

(a) The original appointment must be approved by each of the departments or programs to which it is made.

(b) The proportion of an appointee’s professional responsibilities and rights during the academic year shall be agreed upon in writing at the time of the appointment by the appointee and those departments or programs to which the appointment is made. Ordinarily, at least one-third of the appointee’s professional rights and responsibilities must be in each of the departments or programs involved. Such proportion shall be changed only through consultation among the appointee and the affected administrative units.
Changes in the appointment must be approved by the departments or programs to which the appointment was made.

(c) A joint appointee shall be evaluated for promotion, retention and tenure by a faculty committee whose composition proportionately reflects the division of his/her professional responsibilities between or among the departments or programs to which the appointment is made. If the joint appointment is in more than one college or unit, the reviewing committee shall include representation from each.

(d) The Department/Unit Personnel Committee must include representation from the departments/units involved unless this is impossible due to the number of tenured faculty, in which case tenured faculty from related disciplines will serve.

2.1.8 Probationary Appointments. Faculty may be hired on a tenure track at the rank of Assistant, Associate or Full Professor, Librarian II, Librarian III, or Librarian IV.

2.1.9 Assistant Professor.

(a) Qualifications: A doctorate or terminal degree is required, however, appointment without these qualifications may be made in exceptional circumstances. Faculty who have this rank shall not have yet attained tenure status.

(b) Length of Probationary Period. The normal full probationary period is six (6) years. The initial appointment is a one year probationary appointment. Probationary appointments may exceed six (6) years, as provided in paragraph (i) below.

(i) Extensions of Probationary Period. No later than the conclusion of the fifth year evaluation, a faculty member may make a request to the department chair for a one (1) year extension of the probationary period due to extenuating circumstances outside the candidate’s control which have significantly affected the candidate. Such circumstances may include but are not limited to health problems of the candidate or the candidate’s family; the birth or adoption of a child; or additional assignments that may have interfered with the execution of the FAP. The department chair’s recommendation will be forwarded to the dean, who will grant or deny the request. A candidate may request reconsideration of a denied request through the Faculty Review Board process described in Section 2.3.

(ii) Faculty with less than a full six (6) years of probationary service who demonstrate exceptional achievements may be considered for tenure and promotion after the fourth year if nominated by their
department personnel committee, their chair, and dean. Refusal to consider early promotion and tenure may not be appealed through the grievance procedure or other review procedures established in this Agreement.

(iii) Faculty who, at the time of hire, negotiated an agreement for consideration for tenure and promotion with less than a full six (6) years of probationary service may be considered for tenure and promotion according to the terms in their offer of employment. The fact that a faculty member has an agreed shortened probationary period will not preclude early consideration for tenure and promotion through the nomination process described in paragraph (ii) above.

2.1.10 Associate Professor. A doctorate or terminal degree appropriate to the appointment, and six (6) or more years of successful professional experience are normally required. Faculty appointed at the rank of tenure-track associate professor must be evaluated for tenure in the third year. If tenure is not granted, notification must be given by March 1 of the third year and a one (1) year terminal appointment is granted.

2.1.11 Full Professor. A doctorate or terminal degree appropriate to the appointment, and ten (10) years of successful professional experience are normally required. Faculty appointed at the rank of tenure-track full professor must be evaluated for tenure in the second year. If tenure is not granted, notification must be given by March 1 of the second year and a one (1) year terminal appointment is granted.

2.1.12 Professional Librarians.

(a) The professional library staff shall have faculty status and equivalent rank (Librarian II, III, IV), but contract appointments may differ from those of other University faculty in length of appointment (up to twelve (12) months/year), salary and vacation periods in accordance with the needs of the University and the normal practices of the profession.

(b) As a general policy, new appointments will be made at the rank of Librarian II. A new appointment may be made at the rank of Librarian III, if the candidate meets the paper qualifications for the rank, and the application has the support of the dean and the Library Faculty Personnel Committee.

(c) Original appointment of candidates who do not meet the paper qualifications at the rank of Librarian III and all original appointments at the rank of Librarian IV may be approved upon recommendation of the Library Faculty Personnel Committee and only when the candidates possess outstanding qualifications which are essential for carrying out an effective program.
2.1.13 Qualifications for Rank of Library Faculty.

(a) Librarian II. A master’s degree in librarianship and at least three (3) years of successful professional experience shall normally be required. Library faculty holding this rank shall not have yet attained tenure. In addition to the minimal rank criteria described in this Agreement, the Library has established additional criteria for appointment and promotion to this rank.

(b) Librarian III. A second master’s degree or the doctorate in librarianship or equivalent degree, and six (6) years or more of successful professional experience shall normally be required. A faculty member lacking the doctorate, equivalent degree, or second master’s degree shall have at least nine (9) years of successful professional experience. This is the lowest rank at which tenure may be awarded.

(c) Librarian IV. The doctorate in librarianship, an equivalent degree, a second master’s degree, or other terminal degree appropriate to the field, and ten (10) years successful professional experience shall be required. A library faculty member lacking the doctorate or second master’s degree may be appointed to the rank of Librarian IV only in exceptional cases and where expertise in his/her field is clearly equivalent or superior to that associated with the doctorate or second master’s degree.

2.1.14 Qualifications and Appointment Term for Special Faculty Titles. The following are contractual, non-tenure track positions and an annual contract year is the three quarters, fall, winter and spring.

(a) Lecturer/Library Associate. Qualified faculty with teaching/librarianship responsibilities on an annual contract.

(b) Senior Lecturer/Senior Library Associate. Qualified faculty with at least six (6) years of successful service as a Lecturer/Library Associate may be appointed as a Senior Lecturer/Senior Library Associate on a multiple year contract. Upon appointment to Senior Lecturer/Senior Library Associate, the notice of non-renewal will be one year. For positions funded by external sources, elimination of the external funding is grounds for termination, irrespective of the one year notice requirement.

(c) Faculty in Residence (Scholar in Residence, Visiting Assistant, Associate or Full Professor). These titles may be granted to individuals of exceptional qualifications brought to the institution to provide a short-term enhancement for a program.

2.1.15 Quarterly Faculty. Qualified faculty with teaching or librarian responsibilities hired on a quarterly contract.
2.2 Procedures for Retention, Tenure and Promotion.

2.2.1 Evaluation Committees. University departments shall establish departmental personnel committees and the University library shall establish a library personnel committee for the purpose of evaluating faculty members for retention, tenure and promotion. The department/library personnel committee shall be made up of a minimum of three (3) tenured faculty who, where possible, hold a rank at or above that of the person being considered. Small departments may include faculty members from related areas and large departments may establish more than one committee.

2.2.2 Schedule. The Office of Academic Personnel will provide the colleges with timelines outlining dates related to the retention, promotion, tenure process.

2.2.3 Retention of Probationary Faculty.

(a) Department Evaluation.

(i) Full-time faculty on probationary status will be evaluated annually, regardless of contract length, by the department chair and by the departmental and/or unit personnel committee to determine reappointment. Probationary faculty will be provided with timelines relative to retention decisions. Such evaluations will be based upon progress in meeting goals contained in the FAP. It is expected that the FAP will be in effect throughout the probationary period unless modified by mutual agreement between the faculty member, department chair, departmental personnel committee, dean, and Chief Academic Officer.

(ii) As part of the evaluation process, the department will provide the faculty member with an annual assessment of progress on the FAP and a recommendation regarding probationary status. The evaluation will be signed by the faculty member and retained in her/his official personnel file in the Office of Academic Personnel.

(iii) The department personnel committee and/or unit and the department chair shall each forward a recommendation to the unit dean as to whether the faculty member should be:

(1) Continued on probationary status.

(2) Removed from probationary status and continued as a special faculty member. Consecutive appointments shall not exceed six (6) years, or
(3) Given notice that their appointment will not be renewed or will be terminated according to timelines described in Subsection (e) below.

(iv) Department chair and committee evaluations and recommendations shall be retained in the faculty member’s file in Academic Personnel. Supporting materials, including student evaluations, may be returned to the faculty member.

(b) College Review. If provided by the college P&P, the college personnel committee may review the department chair’s and department personnel committee’s recommendations regarding retention of a probationary faculty member and provide its own recommendation to the dean.

(c) Chief Academic Officer Review. The recommendations regarding retention of probationary faculty members made by the department chair, department personnel committee, college personnel committee (if applicable) and dean will be forwarded to the Chief Academic Officer, who will forward his/her recommendation to the President. The President will then make a recommendation to the Board of Trustees.

(d) Probationary Contract for Third Year Faculty. Following the third successful year of a probationary appointment, faculty will be provided with a three-year probationary contract. If performance shortcomings are identified through the annual evaluation process during the term of such contract, probationary faculty shall be provided with a plan to correct the performance shortcomings which includes timelines for improvement. The plan will be created by the department chair and the affected probationary faculty member, and will be approved by the department personnel committee, the college personnel committee (if applicable), the dean and the Chief Academic Officer. Probationary faculty who do not resolve performance shortcomings following an opportunity to do so may be terminated with notice as provided in Subsection (e) below.

(e) Notice of Nonrenewal or Termination of Probationary Contract.

(i) The first probationary year must include two quarters of full time employment. Summer appointments do not qualify.

(ii) Notice of intent not to renew an appointment for the following year, or to terminate a three-year probationary contract, shall be given in writing to the individual in accordance with the following standards:

(1) Not later than March 1 of the first academic year of service if the appointment is to expire at the end of that year.
(2) Not later than December 1 of the second year of the probationary period if the appointment is to expire at the end of that year.

(3) For faculty in their third through sixth years of the probationary period, notice of intent not to renew shall be given no later than June 1 for a terminal appointment which expires at the end of the next academic year.

2.2.4 Promotion.

(a) Effective Date of Promotion. The effective date of promotions resulting from the regular promotion process is September 1 in the next academic year.

(b) Eligibility for Consideration.

(i) Candidate for Promotion/Rank Qualification. Candidates for promotion to Associate Professor/ Librarian III with tenure must have at least six (6) years in the Assistant Professor/ Librarian II rank, four (4) of which must have been at the University. Candidates for Full Professor/ Librarian IV must have at least four (4) years in the Associate Professor/ Librarian III rank at the University and must meet the qualifications for such rank. The timelines in this Section will not apply to those who, at the time of hire, negotiated a different set of timelines that are included in their offer of employment.

(ii) Presidential Rights-Promotion. The President has the right to promote any faculty member at any time subject to approval of the Board of Trustees.

(c) Evidence Considered.

(i) Determining Evidence to be Considered. The types of evidence considered shall be described in the department and college P&P, and will be consistent with the definitions of the ranks and include the general areas specified in the sections on qualifications for rank and in this Section.

(ii) Candidate Responsibility. It shall be the responsibility of the candidates to provide their department chair and their department/library personnel committee with up-to-date information for their promotion file. They shall indicate the criteria category to which each of their accomplishments shall be assigned. The candidates may not use an accomplishment for evaluation in more than one area.
(iii) **Scope of Evidence.** The academic units shall consider all written evidence provided by the department chair, personnel committee and other knowledgeable individuals related to teaching, scholarship and service provided by the candidates.

(iv) **Evaluation of Teaching.** Documentation of teaching performance, including peer reviews of teaching performance as described in the candidates’ FAP and student evaluation of every class taught during the most recent four (4) quarters, must be included. Student evaluations shall be compiled through use of a standardized university assessment instrument. The UFE and the University will form a joint study committee for the purpose of identifying an appropriate instrument. The statistical data compiled from that instrument shall not, standing alone, preclude consideration for promotion or tenure. Candidates may request a course exemption from evaluation from their department chair.

(v) **Evaluations.** Evaluations prepared by the department chair, the department personnel committee, the college personnel committee (if applicable), and the dean from the period of probation or, in the case of post-tenure promotion, from the prior four (4) years must be included.

(d) **Tenure/Promotion Review and Recommendations.**

(i) **Department Level.** The department personnel committee and/or unit and the department chair shall each forward a recommendation in writing to the unit dean as to whether the faculty member should be promoted.

(ii) **College Level.** The college P&P specify the scope of the college personnel committee’s responsibility and review. After receipt of the department level recommendations, the college personnel committee shall review the candidate’s record and make a recommendation to the dean. The Library personnel committee and the Library Faculty Chair separately review the candidate’s qualifications and make a recommendation to the dean as provided in the Library Plan. The written recommendations, including the dean’s, are forwarded to the Chief Academic Officer.

(iii)** Chief Academic Officer Level.** After reviewing the complete record, the Chief Academic Officer will forward his/her written recommendation to the President who will then make a recommendation to the Board of Trustees. In the event of a negative recommendation, any deadlines for notification or action required by the Board of Trustees will be extended until completion of the reconsideration process. If the Chief Academic
Officer’s recommendation is negative, the faculty member will be informed in writing of the reasons. In the case of a nontenure recommendation, the faculty member has the right to a two step Reconsideration process.

(iv) **Tenure Review of Sixth Year Probationary Faculty.** Following the full six-year probationary period and based upon an explicit tenure and rank evaluation, appointment to tenure status may be awarded a regular full-time faculty member. The evaluations for tenure and Associate Professor and Librarian III status are part of a single, comprehensive process. This evaluation will result in either granting tenure and promotion to associate professor/Librarian III or a one-year terminal appointment for the following year.

(v) **Candidate Response.** The candidate shall receive copies of the written recommendation at each stage of the review. Within three (3) working days following receipt of the recommendations from the department personnel committee and the chair, the faculty member may submit a written statement to correct factual errors in the recommendations. Within three (3) working days following receipt of the recommendation from the college personnel committee and the dean, the faculty member may submit a brief written rebuttal to the deficiencies noted in any negative recommendation submitted by an individual or committee. A copy of the faculty member’s correction of fact and/or rebuttal will be forwarded to the Chief Academic Officer and placed in his/her personnel file.

2.3 **Reconsideration.**

2.3.1 **Step One:** In the event of a negative recommendation by the Chief Academic Officer regarding retention, tenure or promotion, the faculty member may file a request for reconsideration with the Chief Academic Officer. Such request must be made within five (5) days of the faculty member receiving the negative recommendation. The faculty member shall set forth with specificity the basis for the reconsideration request, which may be substantive and/or procedural in nature. The Chief Academic Officer may, within ten (10) days, at his/her discretion, (1) refer the challenged recommendation back to the appropriate administrative level for review, or (2) render his/her own judgment on the matter. In either case, no later than twenty (20) days following submission of the issue to the Chief Academic Officer, he or she shall make his/her recommendation to the President and the Board of Trustees and shall inform the complainant of that recommendation in writing.

2.3.2 **Step Two: Faculty Review Board.** If, after reconsideration, the Chief Academic Officer’s recommendation remains negative, the faculty member may appeal the Chief Academic Officer’s negative recommendation to a Faculty Review Board.
The faculty member must file the appeal in writing with the Academic Personnel Office within five days of receiving the Chief Academic Officer’s reconsideration notification.

The function of the FRB shall be to determine whether the appropriate faculty body gave adequate consideration to the faculty member’s candidacy in reaching its decision and, if the FRB determines otherwise, to request reconsideration by that body or administrator. The FRB shall not substitute its judgment on the merits for that of the previous decision makers.

(a) **Composition of the FRB.** The FRB panel shall consist of three (3) faculty members, including a chair, appointed by the UFE from the ranks of full professors and Librarians IV. The panel shall receive training regarding the proper standard of review by University and UFE representatives. The panel shall be appointed within five (5) days of the filing of the appeal, with written notice of such appointments provided to the appellant and the Chief Academic Officer. The Chief Academic Officer and/or the appellant have the right to challenge panel members because of a conflict of interest or lack of impartiality. Any such challenges shall be filed within twenty-four (24) hours of receipt of the notice of appointment. The president of the UFE shall rule on any such challenge and either deny the challenge or appoint another member to the panel within three (3) calendar days of receiving the challenge.

(b) **Panel Procedures.** Grounds for appeal shall be limited to the failure to provide adequate consideration, *i.e.*, procedural issues. The appeal shall set forth with specificity the nature of the alleged failure to provide adequate consideration. Within five (5) days of receiving the appeal, the lowest body rendering a negative recommendation shall submit a response to the appeal with the FRB with a copy provided to the appellant. Within ten (10) days of receiving the appeal or five (5) days of the unchallenged appointment of the panel, whichever is greater, the FRB shall convene. The appellant shall appear before the FRB to explain why he/she believes adequate consideration has not been provided and to answer questions by the FRB. While the appellant may be accompanied by person(s) of his/her choice, only the appellant may address the FRB, unless otherwise requested. The University shall be represented at the hearing by the Chief Academic Officer or designee. The FRB may consider any documents or testimony deemed relevant. The appellant bears the burden of establishing that he/she has not been accorded adequate consideration. The deliberations of the FRB shall be closed and confidential. Within seven (7) working days of the close of the hearing, the FRB shall issue a written decision to the appellant and the Chief Academic Officer that:

(i) Adequate consideration was given; or
(ii) Adequate consideration was not given, and require that the lowest body rendering a negative recommendation reconsider. In such instances, the FRB should indicate the ways in which it believes that consideration may have been inadequate.

(c) Procedures Subsequent to FRB Remand.

(i) The FRB may, within ten (10) days, (1) refer the challenged recommendation back to the appropriate administrative level for review, or (2) render its own judgment on the matter. In either case, no later than twenty (20) days following submission of the issue to the FRB, it shall inform the complainant and the Chief Academic Officer of its decision in writing. The Chief Academic Officer, after reviewing the FRB decision and any post-FRB recommendations, shall make his/her recommendation to the President and the Board of Trustees and shall inform the complainant of that recommendation on in writing.

(ii) The faculty member shall have no further right to reconsideration or appeal and cannot file a grievance.

(d) Claims of Discrimination. If the faculty member alleges unlawful discrimination relating to retention, tenure or promotion, the faculty member shall file a formal written complaint with the University affirmative action officer. The University shall investigate the complaint pursuant to its Discrimination Complaint Procedure. Complaints of unlawful discrimination shall not be the subject of a request for reconsideration or appeal to the Faculty Review Board. Requests for reconsideration and appeals to the FRB shall be held in abeyance until the conclusion of the Discrimination Complaint Procedure.

2.4 Notice Rights - Reappointment, Non-Reappointment and Separation of Tenure-Track Probationary Faculty.

2.4.1 Returning Appointees. Faculty members who have voluntarily terminated their appointments at the University shall be regarded as new appointees if they return in any form of appointment.

2.4.2 Letter of Appointment. Upon reappointment or continuation of appointment of a faculty member, an annual letter of appointment will be issued. The letter of appointment signed by the appointee shall be returned to the Chief Academic Officer within fifteen (15) days of its receipt to indicate the appointee's acceptance of the conditions of appointment.

2.4.3 Resignation. Faculty members who intend to resign their appointments should provide written notice to their unit dean at the earliest possible date. Faculty
members who intend not to accept reappointment shall provide written notice thereof not later than fifteen (15) days after receipt of the letter of appointment.

2.5 Faculty Personnel Files.

2.5.1 Rights of Faculty. A faculty member has the right to review her/his official personnel file in the Office of Academic Personnel and to place written responses to any material in the file. Written recommendations, evaluations, or other documents relating to performance shall be placed in the official personnel file in the Office of Academic Personnel in a timely fashion.

2.5.2 Confidentiality. Faculty files are public records, subject to the Washington Public Disclosure Act. Therefore, confidentiality cannot be promised. Should a request be made pursuant to the Washington Public Disclosure Act for individual faculty records, then the faculty member shall be promptly informed in writing of the request. Administrative access to faculty records shall be on a “need to know” basis.

ARTICLE 3: COMPENSATION AND BENEFITS

3.1 Base Wage Increases.

3.1.1 Effective June 1, 2006, all lecturers, senior lecturers, faculty in residence, probationary faculty and tenured faculty will receive a 2.0% increase in their base salary.

3.1.2 Effective September 1, 2006, all lecturers, senior lecturers, faculty in residence, probationary faculty and tenured faculty will receive a 4.25% increase in their base salary.

3.1.3 Effective January 1, 2008:

(a) All probationary faculty and tenured faculty will receive a 4.2% increase in their base salary.

(b) All associate and full professors will receive a $500 increase to their base salary which will be added after the base salaries have been adjusted as described in Section 3.1.3(a).

(c) All special faculty under ongoing contracts will receive a 4.5% increase in their base salary.

3.1.4 Effective September 1, 2008:

(a) All probationary faculty and tenured faculty will receive a 4.2% increase in their base salary.
(b) All special faculty rehired or under ongoing contracts will receive a 4.2% increase in their base salary.

3.2 Additional Compensation. All lecturers, senior lecturers, faculty in residence, probationary faculty and tenured faculty employed as of the date the UFE ratifies this Agreement will receive a one-time payment equal to 1.0% of their base salary (this amount will be prorated for those working less than full-time).

3.3 Merit Awards.

3.3.1 Creation of Program. Beginning with the 2006-2007 academic year, the University will establish awards for outstanding contributions to teaching, scholarship (and/or creative activity) and service, and outstanding contributions in two or more of these categories, as follows:

(a) Outstanding Faculty Awards.

(i) The ten (10) faculty members who have made the greatest contribution to teaching during the course of the previous academic year will receive a one-time award of $1500.

(ii) The ten (10) faculty members who have made the greatest contribution to scholarship and/or creative activity during the course of the previous academic year will receive a one-time award of $1500.

(iii) The ten (10) faculty members who have made the greatest contribution to service during the course of the previous academic year will receive a one-time award of $1500.

(b) Distinguished Faculty Awards. From among the ten (10) faculty members who receive awards for their contribution to the categories of teaching, scholarship or service, the faculty member judged to have made the greatest individual contribution in each category will receive an additional one-time award of $2500.

(c) Professors of the Year Awards. The five (5) faculty members who have made the greatest contribution to the University during the course of the previous academic year through their outstanding performance in two or more of the categories of teaching, scholarship and/or service will receive a one-time award of $2500.

(d) President’s Award Winner. From among the five (5) faculty members who receive awards for their contribution to the University through their outstanding performance in two or more of the categories of teaching, scholarship and/or service, the faculty member judged to have
made the greatest individual contribution to the University will receive an additional one-time award of $2500.

3.3.2 Process for Identifying Award Recipients.

(a) Finalists for merit awards will be nominated by the college personnel committees. Each committee may nominate a maximum of ten percent (10%) of the college faculty for awards related to teaching, scholarship and service, and may nominate a maximum of five (5%) of the college faculty for the multi-discipline awards.

(b) Award winners will be selected from those nominated by a University-wide committee comprised of one (1) faculty representative selected by each of the colleges, one (1) faculty representative selected by the library, and two (2) representatives selected by the Chief Academic Officer. The Committee will be responsible for developing criteria for the awards, and communicating those criteria with the colleges.

3.3.3 Timing of Payments. Award recipients will receive their payments through the University’s regular payroll process within thirty (30) days of the date of their award.

3.4 Salary Upon Hire. The University will determine the salary for newly hired faculty members, provided that no faculty member will be hired at a rate less than the minimum salary for his or her rank or title.

3.4.1 Quarterly faculty will be compensated at a rate of at least $500 per credit. Effective March 31, 2008, the minimum rate for quarterly faculty will increase to $530 per credit. Effective September 1, 2008, the minimum rate for quarterly faculty will increase to $575 per credit.

3.4.2 Effective June 1, 2006, the minimum salaries for the academic ranks and titles shall be:

Lecturer/Library Associate: $26,264

Senior Lecturer/Senior Librarian/Visiting (fill in title): $30,133

Assistant Professor/Librarian II: $42,500

Associate Professor/Librarian III: $44,885

Professor/Librarian IV: $50,496

3.4.3 For tenure-track and tenured faculty hired or promoted during the 2006-2007 academic year, the minimum salaries for the academic ranks and titles shall be:

Lecturer/Library Associate: $27,381
Senior Lecturer/Senior Librarian/Visiting (fill in title): $31,413
Assistant Professor/Librarian II: $43,000
Associate Professor/Librarian III: $46,792
Professor/Librarian IV: $52,642

3.4.4 Effective January 1, 2008, the minimum salaries for the academic ranks and titles shall be:

Lecturer/Library Associate: $28,613
Senior Lecturer/Senior Librarian/Visiting (fill in title): $32,827
Assistant Professor/Librarian II: $44,806
Associate Professor/Librarian III: $49,257
Professor/Librarian IV: $55,353

3.4.5 For tenure-track and tenured faculty hired or promoted during the 2008-2009 academic year, the minimum salaries for the academic ranks and titles shall be:

Lecturer/Library Associate: $29,815
Senior Lecturer/Senior Librarian/Visiting (fill in title): $34,206
Assistant Professor/Librarian II: $46,688
Associate Professor/Librarian III: $51,325
Professor/Librarian IV: $57,678

3.5 Salary Upon Promotion.

3.5.1 Faculty members who are promoted from Assistant Professor/Librarian II to Associate Professor/Librarian III shall receive a permanent salary increase to the minimum salary level for the new rank as provided in Section 3.4 above, or 7.5% of the Associate Professor/Librarian III minimum salary, whichever is greater.

3.5.2 Faculty members who are promoted from Associate Professor/Librarian III to Professor/Librarian IV shall receive a permanent salary increase to the minimum salary level for the new rank as provided in Section 3.4 above, or 10.0% of the Professor/Librarian IV minimum salary, whichever is greater.
3.5.3 Faculty members who are promoted from Lecturer to Senior Lecturer shall receive a permanent salary increase to the minimum salary level for the new title as provided in Section 3.4 above, or 5.0% of the Senior Lecturer minimum salary, whichever is greater.

3.6 Retention Increases. In the event that a faculty member has received an offer of greater pay from another institution, the faculty member may request that his/her department seek approval from the dean and Chief Academic Officer to make a counter offer. Faculty will need to provide written verification of a bona fide better offer. A decision not to make a counter offer may not be grieved.

3.7 Overload. Overload will be compensated at a minimum of $500 per credit taught. Effective March 31, 2008, the minimum rate for overload will increase to $530 per credit. Effective September 1, 2008, the minimum rate for overload will increase to $575 per credit.

3.8 Chair Compensation.

3.8.1 Stipend. A stipend will be paid to each department chair based on the weighted formula below. The expectation for department chairs is that they are available during the month of September prior to the beginning of fall quarter.

<table>
<thead>
<tr>
<th>Factors:</th>
<th>Formulas:</th>
</tr>
</thead>
<tbody>
<tr>
<td>FTE of departments</td>
<td>Chair receives $100 per department FTE</td>
</tr>
<tr>
<td>FTES (average of 4 years)</td>
<td>Chair receives a $1,000 for having a UG program or Grad program</td>
</tr>
<tr>
<td>Major (average of 4 years)</td>
<td>Chair receives $1,500 if the department has a UG and Grad program</td>
</tr>
<tr>
<td>Base Salary</td>
<td>Chair receives a summer stipend of 1/9 of their base</td>
</tr>
<tr>
<td></td>
<td>Chair receives a portion of $5,000 for FTES depending on Dept’s percent of the College’s total FTES (Dept FTES/Total FTES * 5000)</td>
</tr>
<tr>
<td></td>
<td>Chair receives a portion of $5,000 for Majors depending on dept’s percentage of the College’s total Majors (Dept Majors/Total Majors * 5000)</td>
</tr>
</tbody>
</table>
3.8.2 **Administrative Assigned Time.** Each department chair will be assigned administrative time in consultation with the college dean ranging from .50 to 1.0. The criteria for assignment include, but are not limited to:

(a) The size of the academic unit using faculty FTE guidelines.

- 8-12 faculty = .50 assigned administrative time
- 13-20 faculty = .65 assigned administrative time
- 21-30 faculty = .80 assigned administrative time
- 30+ faculty = 1.0 assigned administrative time

(b) The complexity of the programs (e.g., multiple degree programs, certification programs, special off-campus programs, etc.).

(c) The external/cross-campus interactions and commitments.

3.9 **Pay Periods.** Effective September 1, 2007, academic year faculty salaries will be paid in eighteen (18) equal payments, beginning on or before October 11 and ending on or before June 26.

3.10 **Temporary Assignments.** Faculty temporarily reassigned to different duties or to a different location shall retain their normal rate of pay.

3.11 **Support for Research, Development, and Institutional Enhancement.** University support for the enhancement of instruction and research which benefits the entire University community promotes accomplishment of the University's instructional mission. Support for enhancement of instruction and research is also important to individual faculty members, who must meet the requirements for retention, tenure and promotion identified in their FAP.

3.11.1 **Faculty Development Allocations.**

(a) To support the academic strategic plan, college and department P&P, and individual FAPs, the University will fund a pool each fiscal year with an amount equal to $1,200 per tenure and tenure-track faculty member.

(b) All probationary and tenured faculty members shall be eligible to receive these funds; eligibility for other faculty members to apply shall be at the discretion of individual colleges/university library and departments.

(c) Faculty development funds per individual will be distributed in accordance with guidelines contained within individual
college/university library and department plans, which will identify criteria and procedures.

(d) A separate fund to support professional development for state-supported instructional special faculty of $20,000 will be distributed annually from the office of the Chief Academic Officer.

(e) Faculty development funds may be used for a variety of purposes, including, but not limited to, travel to collections, attendance at national conferences and purchase of software or equipment.

3.11.2 Faculty Summer Research and Development Grants.

(a) To support the University academic strategic plan, annual funding for faculty summer research and development grants will be $250,000. Grants will be awarded through a competitive, University-wide faculty review process.

(b) All full-time faculty are eligible to apply for faculty summer research grants with support from the department and dean.

(c) Faculty with more than a nine (9) month appointment may not receive salary payments beyond a full-time appointment for summer.

3.12 Insurance Benefits.

3.12.1 Long Term Disability Insurance. The University will provide eligible probationary, tenured, and senior lecturers with long-term disability insurance, with a 120-day benefit waiting period, through the Washington State Health Care Authority (“WSHCA”). Eligibility shall be determined by the rules applicable to the long-term disability insurance plan. Faculty members who elect a WSHCA long-term disability insurance plan with a benefit waiting period of less than one hundred twenty (120) days shall be responsible for paying the additional costs associated with their choice of plan.

3.12.2 Health Insurance. Faculty members will receive contributions toward their health insurance premiums in accord with the rates and policies determined by the WSHCA.

3.12.3 Life Insurance. The University will continue providing existing life insurance benefits to eligible faculty at the rates in effect immediately prior to the effective date of this Agreement.

3.13 Retirement Contributions.

3.13.1 For those faculty who participate in the University’s retirement plan, the University will provide contributions as follows:
(a) Faculty who are less than 35 years old will receive a contribution equal to 5.0% of their base salary.

(b) Faculty who are 35-49 years old will receive a contribution equal to 7.5% of their base salary.

(c) Faculty who are 50 or older will receive a contribution equal to 10.0% of their base salary.

3.13.2 For those faculty members who participate in another retirement plan administered through the Washington State Retirement System, and who elected at the time of hire to continue participating in such plan, the University will make the retirement contribution required by the respective plan.

ARTICLE 4: DEPARTMENT CHAIRS

4.1 Responsibilities of the Department Chair. The department chair is the chief administrative officer of the academic department, a member of the faculty and a member of the bargaining unit. The responsibilities of the chair are to provide effective leadership and fiscal, program, and personnel management in the operation of the department. Specific roles and responsibilities of the department chairs are described in their respective college P&P. Chairs may not initiate a grievance regarding matters arising from their duties and responsibilities as chairs.

4.2 Process for Recommendation and Appointment. Department faculty will select a nominee for department chair through an election conducted according to procedures outlined in their respective college P&P. The Nomination from the department will be forwarded to the dean, who will forward his/her recommendation to the Chief Academic Officer. The Chief Academic Officer will forward his/her recommendation to the President and the Board of Trustees for confirmation.

4.3 Term. Chairs may serve two consecutive four-year term(s) based upon satisfactory performance. Faculty who have served eight (8) years as department chair may be reappointed after a minimum one (1) year break in service. In exceptional circumstances, a chair may serve more than two (2) consecutive four (4) year terms. The initial date of appointment for new department chairs will be July 1 unless another date is approved by the dean.

4.4 Evaluation of Chair’s Performance. Department chairs shall be reviewed annually by the unit dean. Faculty will participate in the evaluation of chairs at least every two years. The chair will be reviewed based on the performance of duties and responsibilities as outlined in the college and departmental P&P. The results of the review will be shared with the department chair. Based on the evaluation of performance, a plan may be developed jointly by the dean and the chair for improvement or the chair may be removed by the dean. The department by a 2/3 majority recall vote may initiate a request to the dean to remove the chair at the end of any academic quarter. The dean shall conduct the vote in the department. The chair may resign at any time.
ARTICLE 5: DISCIPLINE

5.1 Just Cause. No faculty member shall be disciplined or discharged without just cause. Just cause guidelines commonly used by arbitrators are set forth in Appendix A.

5.2 Progressive Discipline. The University shall apply where appropriate the principles of progressive discipline which include, but are not limited to, the following steps: verbal warning, written warning, suspension without pay and, finally, discharge. The University will not be required to apply progressive discipline where the nature of the offense calls for immediate discharge or imposing discipline without progression.

5.3 Disciplinary Procedures.

5.3.1 Informal meetings between the University and faculty members regarding workplace issues are encouraged.

5.3.2 If prior to or during a meeting between the University and a faculty member, the University concludes that discipline could result from the information provided by the faculty member, the meeting shall be designated as a disciplinary meeting by the University. All disciplinary meetings shall be conducted in private.

5.3.3 Faculty members are entitled, at their option, to have UFE representation during any meeting to discuss disciplinary action, or during any investigatory interview conducted by the University that the faculty member reasonably believes may result in discipline of the faculty member. During any such investigatory interview, a participating UFE representative will be given the opportunity to ask questions, offer additional information and counsel the faculty member. The University will notify faculty members before the start of any meeting or interview governed by this Section of the right to UFE representation, and shall permit the faculty member reasonable time to arrange for participation of a UFE representative.

5.3.4 Faculty members shall be given a reasonable opportunity to respond to complaints which could lead to discipline.

ARTICLE 6: DURATION

6.1 This Agreement shall take effect following acceptance by the UFE and upon ratification by the Board of Trustees, and shall remain in effect until August 31, 2009.

6.2 The Parties will reopen this Agreement effective June 30, 2007, for the purposes of negotiating changes to faculty wages during the final two (2) years of the Agreement. In addition to wages, either party may open up to two (2) additional provisions of this Agreement on June 30, 2007, by providing written notice to the other party in advance of that date; provided that Section 11.5 – Workload may not be reopened prior to commencement of negotiations for a successor agreement.
ARTICLE 7: GRIEVANCE PROCEDURE

7.1 **Purpose.** The purpose of this procedure is to provide a process for the prompt and fair resolution of grievances. This procedure shall be the exclusive means of resolving grievances.

7.2 **Definition of Grievance.** A grievance is a dispute between the University and the UFE, on its own behalf or on behalf of an employee or group of employees, over an alleged violation, misinterpretation or misapplication of an express term or provision of this Agreement.

7.3 **Time Limits.** Time limits within the grievance procedure may be waived or extended by the mutual agreement of both Parties. If the UFE, on behalf of the employee(s), fails to act or respond within the specified time limits, the grievance will be considered waived. If the University fails to respond within the specified time limits, the grievance shall proceed to the next step of the grievance procedure.

7.3.1 The day after the event, act or omission shall be the first day of a timeline under this Article. In the event a time limit under this Article ends on a weekend or holiday, the deadline will automatically be extended to the following University business day.

7.3.2 Submissions will be considered timely under this Article if they are received by 5:00 p.m. on the last day called for under an applicable time limit.

7.4 **Submission of Grievances and Responses.**

7.4.1 All grievances and requests for arbitration must be submitted to the University’s Academic Personnel Office, by fax, hard copy, or electronic mail. University responses will be submitted to the UFE’s business office by fax, hard copy, or electronic mail.

7.4.2 Grievances shall include the following:

(a) the specific term(s) of the Agreement allegedly violated, misinterpreted, or misapplied;

(b) a statement of the grievance; and

(c) the remedy sought.

7.5 **Cooperation Between the Parties.** The University and the UFE will supply each other with requested information reasonably needed to facilitate processing the grievance. Any meetings scheduled to discuss a grievance will be set at mutually convenient times.
7.6 Process.

7.6.1 Step 1. The UFE shall submit the grievance within twenty-one (21) calendar days of the day the faculty member(s) or UFE knew or reasonably should have known of the events giving rise to the grievance. The appropriate Dean shall hold a meeting with the grievant and his or her UFE representative within fourteen (14) calendar days of his or her receipt of the grievance, and shall respond to the grievance in writing within fourteen (14) calendar days of such meeting.

7.6.2 Step 2. Should Step One fail to resolve the grievance, within fourteen (14) calendar days following its receipt of the Dean’s Step 1 response, the UFE shall submit the written grievance to the Academic Personnel Office for consideration by the Provost. The Provost shall hold a meeting with the grievant and his or her UFE representative within fourteen (14) calendar days of the receipt of the grievance, and shall respond in writing to the grievance within fourteen (14) calendar days of such meeting.

7.6.3 Step 3. Should Step Two fail to resolve the grievance, the UFE shall submit a written request to the University to arbitrate the grievance within fourteen (14) calendar days after its receipt of the Provost’s Step 2 response. The UFE shall simultaneously submit a written request for arbitration to the American Arbitration Association (AAA) or the Federal Mediation and Conciliation Service and request that the Parties be provided with the names of seven (7) qualified arbitrators from the Washington, Oregon or Idaho area. The arbitrator shall be chosen by the strike method. The party exercising the first strike shall be the loser of a flip of a coin.

7.7 Rules. The Parties agree that if there is question of arbitrability that the arbitrator shall rule on the arbitrability issue before scheduling a hearing on the case in main.

7.7.1 The arbitrator will:

(a) Have no authority to rule contrary to, add to, subtract from, or modify any of the provisions of this Agreement;

(b) Be limited in his or her decision to the grievance issue(s) set forth in the original written grievance unless the Parties agree to modify it;

(c) Not make any award that provides a faculty member with compensation greater than would have resulted had there been no violation of this Agreement.

7.7.2 Arbitrations will take place in accord with the Labor Arbitration Rules of AAA unless the Parties agree otherwise in writing.
7.7.3 The arbitrator shall issue written decision to the Parties within thirty (30) calendar days of the close of the hearing or the submission of post-hearing briefs, whichever is later. The decision shall be final, conclusive and binding on the University, the UFE and the faculty members; provided that the decision does not include action by the arbitrator beyond his or her jurisdiction.

7.7.4 Arbitration Costs.

(a) The expenses and fees of the arbitrator and the cost (if any) of the hearing room will be shared equally between the Parties. If one party chooses to use a court reporter it shall bear the costs of the court reporter. The other party may obtain a copy of the court reporter’s report by agreeing to share the cost of the court reporter at the time it makes the request for a copy of the transcript.

(b) If the arbitration hearing is postponed or canceled because of one party, that party will bear the cost of the postponement or cancellation. The costs of any mutually agreed postponements and/or cancellations will be shared equally by the Parties.

(c) Each party is responsible for the costs of its staff representatives, attorneys, and all other costs related to the development and presentation of its case.

7.8 General Provisions.

7.8.1 Documents related to the processing of a grievance will be maintained and filed separately from the personnel files of affected faculty members.

7.8.2 No faculty member shall suffer reprisals for filing a grievance, for being a witness in a grievance, or for participating in the grievance process.

7.8.3 By mutual agreement the Parties may choose to utilize an expedited arbitration process.

ARTICLE 8: INTELLECTUAL PROPERTY RIGHTS

8.1 In recognition of the importance of providing uniform policies and procedures for the regulation and administration of intellectual property rights generated by the activities of its faculty, employees, and others associated with the University, such as visiting scholars, the University has adopted and will maintain an Intellectual Properties Policy (see Appendix B). In the event the University wishes to make changes to the Intellectual Properties Policy, it will first provide written notice of its proposed changes to the UFE and, if requested, will bargain with the UFE over any proposed changes.
ARTICLE 9: LEAVES

9.1 Sick Leave.

9.1.1 All faculty absences due to illness, injury or disability shall be reported to the department chair. Absences of up to two (2) workweeks in duration for illness, injury or temporary disability will be considered sick leave. The faculty member and the department chair shall be responsible for making arrangements to cover or reschedule all classes missed by absence due to sick leave.

9.1.2 Quarterly faculty shall be entitled to up to two (2) workweeks of paid sick leave during the term of their contract. Any leave taken beyond two (2) workweeks in a quarter for the reasons described in Section 9.1.4 shall be unpaid and may, at the discretion of the dean following consultation with the department chair, result in termination of the faculty member’s contract.

9.1.3 Probationary, tenured and special faculty shall be entitled to paid sick leave of up to two (2) workweeks for each separate occurrence for the reasons described in Section 9.1.4.

9.1.4 Sick leave may be used during the period of a faculty member’s appointment for the faculty member’s own illness, injury or disability (including disability related to pregnancy); the need to care for a child under eighteen (18) years of age, or an older child incapable of self-care, with a health condition requiring treatment or supervision; and the need to care for the faculty member’s spouse, domestic partner, parent, parent-in-law or grandparent with a serious health condition or emergency condition.

9.2 Medical Verification. For absences of three (3) or more days, the University may require written medical verification of the reason for the faculty member’s absence.

9.3 Short-Term Disability Leave.

9.3.1 Absences of longer than two (2) consecutive workweeks caused by a condition described in Section 9.1.4 will be considered short-term disability leave. In addition to paid sick leave for the first two (2) weeks of a condition described in Section 9.1.4, probationary, tenured and special faculty shall be entitled to disability leave as provided in this Section.

9.3.2 Eligible faculty members must notify their department chair and the dean’s office when they become aware of the need for any disability leave, and must provide any required written medical verification of the reason for the leave. The dean must approve all disability leave.
9.3.3 **Amount of Disability Leave.**

(a) Lecturers and faculty in residence will be entitled to paid disability leave during the term of their contract up to a maximum of the number of weeks noted in the table below.

<table>
<thead>
<tr>
<th>Years Completed at EWU</th>
<th>Weeks of Paid Disability Leave</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;1</td>
<td>2</td>
</tr>
<tr>
<td>1</td>
<td>2</td>
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<tr>
<td>2</td>
<td>4</td>
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<td>3</td>
<td>6</td>
</tr>
<tr>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>5+</td>
<td>10</td>
</tr>
</tbody>
</table>

(b) Senior lecturers and probationary faculty shall be entitled to up to twelve (12) workweeks of paid short-term disability leave during an academic year; provided that nothing in this Section will require an extension of the term of appointment for a special faculty or probationary faculty member.

(c) Tenured faculty shall be entitled to up to fifteen (15) workweeks of paid short-term disability leave during an academic year.

9.3.4 **Pay During Disability Leave.** Faculty members shall receive their normal salary during any period of short term disability leave.

9.3.5 **Unpaid Leave.** Any disability leave permitted beyond the paid leave provided in this Section will be unpaid. Following all paid and any permitted unpaid disability leave, the dean may, at his or her discretion, terminate the contract of a special faculty member.

9.4 **Paid Professional Leave.**

9.4.1 Professional leave shall be granted by the Board of Trustees for research or creative work which leads to the improvement of undergraduate and graduate teaching. Selection for professional leave will be judged on the merits of each case as it meets the specific scholarship and/or research and service deemed important to the University criteria for selection. The element of rotation shall not be a factor in selection. Professional leave may be taken to pursue projects in the following areas:
(a) Research.

(b) Scholarly and Creative activity, including the scholarship of teaching.

(c) Any other area of professional development that complies with the criteria set by the Research, Service and Scholarship Committee.

(d) Retraining of tenure and tenure-track faculty facing program reduction or elimination.

(e) Service to the University and/or the external community.

9.4.2 Exclusions. Professional leave will not be granted for the purpose of working for an advanced degree or the possible acquisition of credit applicable toward an advanced degree.

9.4.3 Eligibility. Full-time tenured faculty are eligible for professional leave when they meet one of the following qualifications:

(a) After an initial three (3) years of consecutive service, a professional leave may be granted for a maximum of one (1) academic year in any six-year period of full appointment, provided that the faculty member presents an application that meets all other requirements regarding leave qualification. The six (6) year period will be computed from the year of initial full-time appointment.

(b) In computing consecutive years of service, periods of sick or disability leave, and up to one (1) year of professional leave of absence without pay will be counted. A period of leave without pay to do graduate work will not count as part of the six (6) year period, but will not be considered a break in service for purposes of computing eligibility for professional leave.

(c) A year's professional leave may, based on the approved application, be taken in one (1), two (2) or three (3) quarters at a time. If fewer than three (3) quarters are taken at a time, the remaining quarter(s) may, if approved in a subsequent application, be taken within the remainder of the six-year period. Additional quarters are not guaranteed.

9.4.4 Policies Governing Personnel on Leave.

(a) Individuals on professional leave shall be entitled to the same benefits as other academic personnel. This applies to salary raises, insurance coverage, liability coverage, retirement, professional development funds, office space, and the usual departmental resources.

(b) The period of leave shall be counted as time in rank and experience on the Eastern faculty.
(c) Faculty members returning from professional leave will resume their academic or professional rank and position, or a different position mutually agreeable to the faculty member and the University administration. In the event the faculty member’s prior position is eliminated during the time the employee is on leave, the rights of the faculty member will be governed by the provisions of Article 12 – Reductions in Force.

9.4.5 Policies Involving Programs and Services. State law requires that the aggregate cost of remunerated professional leaves awarded at the institution during any year, including the cost of replacement personnel, shall not exceed the cost of salaries that otherwise would have been paid to personnel on leave.

(a) The University will allocate leaves amounting to between eighty percent (80%) of the legislatively allowed maximum, and the legislatively allowed maximum of full-time equivalent faculty (as defined by the Office of Financial Management) who are engaged in instruction and exempt staff.

(b) The Chief Academic Officer may reserve up to fifteen percent (15%) of the legislatively allowed maximum number of leaves to be awarded at his/her discretion. The Chief Academic Officer will report awards under this paragraph to the Research & Scholarship Committee (RSS Committee) before June 30 of the year in which they occurred.

9.4.6 Terms and Conditions.

(a) The granting of paid professional leave shall not become automatic upon application. The University RSS Committee will evaluate the applications and recommend the granting of leaves on the basis of the:

(i) Purpose and scope of the proposed project;

(ii) Quality and feasibility of the proposed project;

(iii) Ability of the individual to carry out a successful project;

(iv) Significance and potential value of the project to the individual, an area of study, the University’s Strategic Plan initiatives and the State of Washington;

(v) Retraining leaves due to program elimination shall receive priority consideration by the committee.

(b) To receive consideration an individual must make formal application in writing.
(c) Faculty on leave shall receive 90 percent of their quarterly salary if the leave is taken for one (1) quarter; 82.5 percent of their salary for the two (2) quarters; and 80 percent of their annual salary if the leave is taken for three (3) quarters. Within the six-year period referenced above, no more than one (1) quarter will be compensated at the 90 percent rate; subsequent quarters will be compensated at the 75 percent rate.

(d) Salary for leave will be determined on the basis of the salary agreement in effect during the specified period of leave. Outside consulting which is not in direct support of the project for which remunerated professional leave is granted will be permitted with the prior approval of the dean and the Chief Academic Officer.

(e) Faculty members may seek additional support for their projects from outside agencies. Such aid must be in direct support of the project for which professional leave is granted. A portion of this aid may be used to supplement the leave stipend. (State law stipulates that the base salary cannot be exceeded. Thus, additional stipend funds will be used to reduce the University stipend, thereby allowing the granting of additional professional leaves.)

(f) The granting of any such professional leave shall be contingent upon a signed contractual agreement between the Board of Trustees and the recipient providing that the recipient shall return to the University following his or her completion of such leave and serve in a professional status for a period equal to the amount of leave so granted. Failure to comply with the provisions of such signed agreement shall constitute an obligation of the recipient to repay to the institution any remuneration received from the institution during the leave.

(g) Methods of payment while on leave should be set through mutual agreement of recipient and the University.

(h) A written report of the completed project shall be submitted to the appropriate dean for forwarding to the Chief Academic Officer, the president and the Board of Trustees.

9.4.7 Application Procedures.

(a) Applications for professional leave shall be submitted in writing to the Chief Academic Officer by November 15. The Chief Academic Officer will announce the deadline at the beginning of each fall quarter of the academic year preceding the academic year in which the leave is to be taken. In exceptional cases, such as an opportunity arising upon shorter notice, later applications may be considered at the discretion of the Chief Academic Officer and the RSS Committee.
(b) Applications must include the following:

(i) Statement of the proposed project;

(ii) Purpose and scope of the proposed project;

(iii) Value and significance of the project, both intrinsically and in relation to the applicant’s teaching and other responsibilities at Eastern;

(iv) Detailed plans for carrying out the project

(v) Evidence of the feasibility of the project and evidence of the individual’s ability to pursue the project successfully;

(vi) Evidence of support for the proposed project, including letters from other institutions and other individuals concerned with the proposed project, if applicable;

(vii) Dates and length of leave requested;

(viii) Time, if any, to be devoted to another activity or project, including beginning and terminal dates;

(ix) Remuneration, if any, from other sources; and

(x) Written proof that the faculty member’s program is subject to program elimination (if applicable).

(c) Applications should be submitted through the department chair to the appropriate dean. Each dean will forward to the Chief Academic Officer those applications that have been recommended by the department chair and the dean. All such applications will be reviewed by the RSS Committee. Its recommendation should be made to the Chief Academic Officer as soon as possible after receiving the applications.

(d) Notification to the applicant of the decision concerning the granting of the leave shall be made by the President not later than the end of the quarter following that in which the application was submitted.

(e) Faculty who take fewer than three (3) quarters leave and who wish to take the remaining quarter(s) during the six-year period should apply for the remaining leave in the manner described above. Each application is a new application and will be considered with all others for that year.
(f) Faculty whose applications are not forwarded to the Chief Academic Officer may appeal to the FRB within five (5) days of receiving such notice.

9.5 Personal Leave of Absence without Pay. Faculty members may request (through their department chair and dean) personal leave without pay for periods of one (1) quarter or more subject to the following provisions:

9.5.1 Such leaves will be granted for no more than one (1) year at a time but may be extended upon approval of the Board of Trustees.

9.5.2 Individuals on a one (1) year leave must notify the University in writing by March 1 whether they will return for the following academic year.

9.5.3 Approved leave time will not count toward years of service. Approved leave without pay will not interrupt years of consecutive service.

9.5.4 A letter of agreement detailing the specific conditions of each leave will be prepared by the dean in consultation with the chair, signed by the requesting faculty, and submitted to the Chief Academic Officer for final approval.

9.6 Professional Leaves of Absence without Pay. Faculty members may request (through their department chair and dean) a professional leave without pay to enhance their knowledge and skills for periods of one (1) quarter or more subject to the following provisions:

9.6.1 Such leaves will be granted for no more than one (1) year at a time, but may be extended upon approval of the Board of Trustees.

9.6.2 Individuals on a one (1) year leave must notify the University in writing by March 1st whether they will return for the following academic year.

9.6.3 Approved leave time shall count for tenure and/or promotion. Approved leave without pay will not interrupt years of consecutive service.

9.6.4 Approved leave time spent acquiring an advanced degree will not count towards years of service.

9.6.5 A letter of agreement detailing the specific conditions of each leave will be prepared by the dean, in consultation with the chair, and forwarded to the Chief Academic Officer and will be signed by the requesting faculty.

ARTICLE 10: NONDISCRIMINATION AND AFFIRMATIVE ACTION

10.1 Compliance with State and Federal Law. The parties acknowledge their mutual support for equal employment opportunity and their commitment to abide by all State and Federal law regarding nondiscrimination and affirmative action in the workplace.
10.2 **Affirmative Action.** The parties support and will cooperate in the implementation of the University’s affirmative action programs. The University’s Affirmative Action Plan will be provided to the Union at the time of its implementation/renewal.

10.3 **Prohibition of Discrimination and Harassment.** Neither the University nor the Union shall discriminate against or harass any employee because of age, sex, national origin, race, color, creed, religion, sensory, mental or physical disability, sexual orientation, marital status or union membership.

10.4 **Joint Commitment to Eliminate Discrimination and Harassment.**

10.4.1 The parties share a goal of eliminating incidents of discrimination and harassment from the campus environment. To support this goal, and to raise campus awareness of these issues, during the 2007-2008 academic year, the parties agree to form a representative group that will evaluate ways to eliminate harassment, bullying or other inappropriate behaviors by University employees. The group will include representatives of the administration and the union, and may also include representatives from the classified staff, the administrative exempt employees and the Faculty Senate; provided that the group will include no more than twelve (12) representatives comprised as follows: three (3) representatives selected by the Union; up to two (2) academic administrators; up to four (4) classified staff; up to (2) administrative exempt employees; and up to one (1) representative from the Faculty Senate. The group will convene within forty-five (45) days of the date of ratification of agreement on the 2007 contract reopener, and once convened, will be responsible for selecting its chair.

10.4.2 The group will review existing policies of relevance, as well as policies/practices adopted by other universities, and shall by consensus recommend to the President and Board of Trustees any changes or improvements to University policies and practices that the group concludes will eliminate and/or address incidents of harassment, bullying or other inappropriate behavior by University employees. The group may also recommend training, workshops or other proactive measures to increase campus awareness surrounding these issues. The group shall make periodic progress reports to the President’s cabinet and the Faculty Senate, and will present an initial written report on its progress to the Faculty Senate, the President and the Board of Trustees no later than May 15, 2008.

10.5 **Concerns Regarding the Workplace Environment.** The University is committed to providing equal employment opportunity, consideration and treatment for all employees. Faculty are encouraged to identify and report to their department chairs, deans or to Academic Affairs, either individually or through their Union representatives, concerns and proposed solutions for abusive, unfair or inappropriate behavior, actions or practices by other faculty members, administrators or other members of the University community. Department chairs notified of such concerns will inform their dean of the issue. The chair or responsible administrator will notify the affected faculty member(s) of the
response to concerns raised, including the outcome of any investigation conducted, and, to the extent appropriate, actions taken as a result.

10.6 **Actions for Violations of this Article.** Faculty may challenge practices or actions that they allege violate the provisions of Sections 10.1 and 10.3 through the University’s Discrimination Policy and procedures, and/or using those remedies available through applicable law. Faculty who choose to file a complaint under the University’s Discrimination Policy may, at their option, choose to have union representation throughout that process. Alleged violations of Sections 10.1 and 10.3 shall not be the subject of grievances under Article 7.

**ARTICLE 11: PROFESSIONAL RIGHTS AND RESPONSIBILITIES**

11.1 **Academic Freedom and Tenure.** The Statement of Academic Freedom and Tenure, 1940 Statement of Principles has been adopted as a basic guideline for University policies by action of the Board of Trustees. *See Appendix C.* To the extent that there are conflicts between this Agreement and these basic guidelines, the terms of this Agreement will prevail.

11.2 **Ethics.** The Statement of Professional Ethics as adopted by the AAUP June 1987 has been adopted as a basic guideline for University policies by action of the Board of Trustees. The principles of professional ethics shall be upheld by all members of the University community. *See Appendix D.*

11.3 **Faculty Activity Plans.**

11.3.1 **Plan Content.** The FAP shall be consistent with the University mission and Strategic Plan, college and department strategic plans, P&P, and the Agreement. The FAP shall include all areas of professional activity, development, and expected performance; describe an equitable workload; and include any other expectations as required by department or college P&P. Where the FAP is intended to lead to tenure and/or promotion the plan shall so state.

11.3.2 **Development of the FAP.**

(a) Development of the FAP is a collaborative process. All continuing faculty members shall, in consultation with the department personnel committee and the department chair, prepare a FAP specifying areas of activity for the period of the plan. A new faculty member’s FAP shall be prepared no later than the conclusion of the first academic quarter covered by the plan. The term of the initial FAP is determined as follows based upon the faculty members rank upon hiring:

(i) Tenure track Assistant Professor 6 years

(ii) Tenure Track Associate Professor 3 years
(iii) Tenure Track Full Professor 2 years

(b) Term and preparation of subsequent FAPs.

(i) An approved FAP must be in place prior to the expiration of the previous FAP.

(ii) The term of the subsequent FAP is determined by the faculty members rank as follows:

(1) Tenured Associate professor 4 years
(2) Tenured Full professor 5 years
(3) Senior Lecturer Term of Appointment

11.3.3 Approval of the FAP.

(a) The FAP, including any agreed amendments or revisions made as a result of the collaborative development process described in Section 11.3.2, must be signed by the faculty member, and approved by the department personnel committee, department chair, dean and the Chief Academic Officer. At the option of the college, and as expressed in the college P&P, FAPs may also require approval by the college personnel committee. A copy of all approved FAPs will be retained in the Academic Personnel Office.

(b) If a FAP is not approved by the department personnel committee, the chair, the college personnel committee (if required by the college P&P), the dean or the Chief Academic Officer, the faculty member will prepare a revised plan in consultation with the department personnel committee and the chair. If an acceptable plan is not prepared by the end of the subsequent quarter, the chair and department personnel committee will revise the plan in a manner that meets with the approval of the college personnel committee (if applicable), the dean and the Chief Academic Officer. Any dispute over the contents of the revised FAP will be resolved by the Chief Academic Officer. The revised FAP will govern the faculty member’s approved activity for the period of the plan.

11.3.4 Evaluation Process. Probationary faculty, tenured faculty and senior lecturers will be evaluated annually in regard to their FAP. The timelines for such reviews will be established by the Chief Academic Officer.

(a) Prior to the evaluation, the faculty member will submit to the department personnel committee a current vita; a description of his or her workload, including instructional load,
scholarship/research/creativity activity, and service as defined by the
department and college P&P; evidence of teaching effectiveness, and
such additional materials as the faculty member deems relevant to the
FAP.

(b) Based on materials submitted by the faculty member relating to activity
since the last review, the department personnel committee and
department chair shall prepare independent evaluations of, and where
appropriate, recommendations for, each faculty member. The
department personnel committee and the chair shall review each other’s
evaluations and seek to work out any significant differences.

(c) The department personnel committee’s and department chair’s
evaluations and recommendations, together with the materials
submitted by the faculty member, shall be forwarded to the college
personnel committee (if appropriate) and to the appropriate dean for
review and approval.

11.3.5 Modification of the Plan. FAPs may be modified during their term. A faculty
or the chair may request in writing a modification. All modifications are
subject to the same approval process as the original FAP.

11.4 Evaluation Process for Lecturers/Library Associates and Faculty in Residence. The
department personnel committee and the department chair will evaluate lecturers/library
associates and faculty in residence annually and will prepare a recommendation regarding
their rehire. The Chief Academic Officer will establish the timelines for such reviews no
later than the end of fall quarter.

11.4.1 Prior to the evaluation, the faculty member will submit to the department
personnel committee a current vita and evidence of effectiveness in teaching
(and other work assignments set forth in the Letter of Appointment).

11.4.2 Based on the faculty member’s work assignments described in the Letter of
Appointment, the materials submitted by the faculty member, and any other
materials regarding the faculty member’s performance and professionalism
submitted by the department chair, the department personnel committee and
department chair shall prepare independent evaluations of the faculty member
and recommendations regarding the faculty member’s potential rehire. The
department personnel committee and the chair shall review each other’s
evaluations and recommendations and seek to work out any significant
differences.

11.4.3 The department personnel committee’s and department chair’s evaluations and
recommendations, together with the materials considered, shall be forwarded
to the appropriate dean for review and consideration.
11.4.4 If the Dean disagrees with the Department Chair’s and/or Department Personnel Committee’s evaluation of a particular faculty member, the Dean will provide the Department Chair and the Department Personnel Committee with a written explanation of the basis for his/her disagreement.

11.4.5 Neither the evaluations and recommendations prepared pursuant to this Section, a Dean’s disagreement with those evaluations and recommendations, nor a decision regarding rehire of a special faculty member, shall be the subject of grievances under this Agreement.

11.5 Workload.

11.5.1 Professional Responsibilities of Faculty Members. The University Strategic Plan calls for an environment that is supportive of learning and teaching excellence. To further this goal, the workload of probationary and tenured faculty members will consist of a combination of teaching, scholarship/creative activity, and service determined as provided in this Article. The primary professional responsibility of faculty members shall be teaching. Unless otherwise assigned, the workload of special and quarterly faculty members will be composed of teaching.

11.5.2 Teaching.

(a) Teaching Responsibilities. All faculty are expected to be current in their field or discipline and maintain methods of professional practice or performance. Each faculty member is responsible for meeting all scheduled classes. Each faculty member is also responsible for planning, organizing and informing students of the course content, texts, readings, assignments, attendance regulations and methods of evaluation including grading scales. Faculty are responsible for scheduling and attending office hours to meet the needs of students as identified in department plans. They are also responsible for turning in grades according to the deadline established by the registrar’s office.

(b) Special and Quarterly Faculty Teaching Workload. Unless otherwise specified in the special or quarterly faculty member’s letter of appointment, workload for such faculty members consists solely of instruction. The teaching load for special faculty will be forty-five (45) credits per academic year.

11.5.3 Scholarship/Creative Activity. Tenured and tenure-track faculty are expected to engage in research, scholarship and/or creative activity, including professional activities leading to publication, performance, or formal presentation in the faculty member's field, or leading to external funding recognizing the faculty member's current or potential contribution to his/her field.
11.5.4 Service. Tenured and tenure-track faculty are expected to engage in public service, which includes organized, educational, civic and consultative activities, and service to the University on committees or in administrative capacities.

11.5.5 Advising. As part of their professional responsibilities, tenure and tenure-track faculty are expected to advise students who are declared majors in their department on department, college and University requirements and career opportunities in their disciplines.

11.5.6 Determination of Workload.

(a) The workload assigned to special and quarterly faculty will be described in the contract issued to the special or quarterly faculty member at the time of hire or rehire.

(b) The workload of all tenured and tenure-track faculty will include a combination of teaching, scholarship/creative activity and service. The composition of these professional duties and responsibilities for an individual faculty member in an academic year will be determined by the faculty member and department chair, and approved by the dean, as described below. Workload will be composed of eighty percent (80%) teaching, equivalent to thirty-six (36) credit hours of lecture/demonstration course instruction during an academic year, and a combination of scholarship/creative activity and service that accounts for the remaining twenty percent (20%) of the faculty member’s professional responsibilities. Variances in the ratio of teaching to scholarship/creative activity and service may be due to accreditation requirements; assigned time for administrative responsibilities; the extent of research and service activities as defined in FAPs; and teaching productivity from special faculty within the college.

(i) Where the credits associated with a particular mode of instruction do not fairly approximate the weekly student contact hours (e.g., science courses with associated labs, field application, individual instruction), weekly student contact hours will be used in conjunction with credits in determining the number and type of courses included in a faculty member’s annual workload. The relation between contact hours and credits will be determined by agreement between the faculty member, department chair and dean.

(c) The process for determining workloads for tenured and tenure-track faculty shall be as follows:
(i) On or before February 15, the overall workload of each department will be established by the dean following consultation with the department chair.

(ii) The department chair will consult with individual tenured and tenure-track faculty regarding departmental workload and the expectations of the individual faculty member in light of the department’s needs and the faculty member’s FAP. The faculty member will then prepare an individual workplan outlining his or her planned teaching, scholarship/creative activity and service workload for the academic year. All such workplans must be submitted to the department chair for review on or before March 15.

(iii) On or before March 31, the department chair shall submit to the dean the faculty workplans for department members, along with a summary of how those workplans meet the department’s established workload requirements.

(iv) Following approval by the dean, the annual workplan for each faculty member will be appended to his or her FAP, and a copy will be provided to the faculty member no later than the last day of the current academic year.

(d) Librarian workloads, including their scheduled hours of work and expectations for scholarship and service, will be determined according to the process described in Subsection (c) above.

(e) Faculty member’s workloads shall be determined with the expectation that the tenured and probationary faculty will have the opportunity to meet the established criteria for reappointment, promotion, tenure, and post-tenure review.

(f) With approval by the dean and Chief Academic Officer, faculty may be permitted to buy out workload (e.g., through grant-funded activities), provided that the overall teaching, scholarship, and service needs of the unit, as determined by the department chair and approved by the dean, can otherwise be met.

(g) Shifts in enrollment and department needs, and other unanticipated or emergency situations, require some flexibility in faculty members’ teaching assignments. In the event such circumstances require a change to a faculty member’s workplan, the department chair and faculty member will revise the plan and submit it to the dean for review as soon practicable. Unless the change is required by a situation that the faculty member and the dean both agree to be an emergency, the
faculty member shall be given at least six (6) weeks notice before a change in his or her workplan takes effect.

11.5.7 Disputes Over Workload. Disputes regarding workloads or workplans shall be resolved as follows:

(a) Procedure.

(i) In the event that a faculty member has a dispute over his/her workload or workplan, the UFE shall notify the appropriate dean within seven (7) calendar days of the date on which the dispute arose. The dean will convene a meeting with the faculty member, the UFE (if the faculty member wishes UFE representation at this meeting), and the faculty member’s department chair. The purpose of the meeting is to attempt to resolve the dispute.

(ii) If the meeting results in a mutually agreed resolution of the dispute, the employee’s workload will be revised consistent with the resolution. If the meeting does not result in a mutually agreed resolution, and the UFE chooses to pursue the dispute, the UFE President or his/her designee will inform the Chief Academic Officer within seven (7) calendar days of the conclusion of the meeting referred to in Subsection (i) above of the UFE’s intent to refer the dispute to the Workload Dispute Faculty Review Committee (“FRC”).

(iii) The FRC will convene within seven (7) calendar days of the date of the referral to hear the dispute. The FRC shall provide a written recommendation regarding the dispute to the UFE President and the Chief Academic Officer within seven (7) calendar days of the conclusion of the hearing.

(iv) The Chief Academic Officer will review the FRC’s recommendation and shall either accept or reject that recommendation. The Chief Academic Officer shall notify the UFE President and the affected faculty member of his/her decision in writing within seven (7) calendar days from receipt of the FRC’s recommendation. If the Chief Academic Officer chooses to reject the recommendation, (s)he shall include his/her reasons for doing so in the notification.

(v) The Chief Academic Officer’s determination shall be final and binding, and may not be challenged through the Grievance Procedure in Article 7.
(b) **FRC Composition.** No later than October 1, 2006, the UFE President shall select a pool of at least six (6) and not more than nine (9) members, with at least one member from each college. Each FRC will consist of three (3) members selected from this pool; provided that no faculty member may sit on an FRC hearing a dispute arising out of his/her department. The UFE is responsible for training the members of the FRC pool and shall do so no later than November 1, 2006.

(c) **Conduct of the Hearing.**

(i) The FRC shall select a chair who is responsible for conducting the hearing. The hearing will consist of a presentation by the UFE or affected faculty member, followed by a presentation from the dean or his/her designee, followed by a period of rebuttal by each side. The initial presentations shall be limited to thirty (30) minutes each, the rebuttals to fifteen (15) minutes each.

(ii) The FRC members may ask questions of either the UFE or the dean or his/her designee at any time during each presentation, at the conclusion of the presentation, or during the rebuttal periods. The Chair will serve as time keeper and restore the time taken by asking and answering questions to the thirty (30) minute presentation period and/or the fifteen (15) minute rebuttal period.

(iii) If either party wishes to present documentary evidence during the hearing, the party shall notify the other side and make the documents available at least forty-eight (48) hours prior to the beginning of the hearing.

(iv) Witnesses at the hearing are limited to the faculty member, his/her UFE representative, the dean and/or his/her designee.

11.5.8 **Overload Teaching.**

(a) In order to assure that overload teaching activities do not impinge upon or serve as a detriment to the regular duties of the faculty, overload teaching should be of a non-recurring nature and should not exceed the equivalent of one (1) five-credit course per quarter for faculty whose workplans have not been adjusted to accommodate the additional teaching responsibility. Waivers for additional overload teaching may be granted by the appropriate dean and approved by the Chief Academic Officer.
(b) Faculty who have been given assigned time for administrative activities may not receive overload for teaching without the approval of the Chief Academic Officer.

11.5.9 **Summer Session.**

(a) The Chief Academic Officer or designee shall have the authority to appoint the faculty and staff members who teach during the summer session and shall set the salary and terms of the appointment subject to approval of the Board of Trustees within the budgetary limitations allowed proportionate to the teaching load (10 credits being a full load) and to faculty members’ academic year base salary (22% of pay).

(b) Faculty teaching during Summer Session will be paid consistent with University pay policies, state statutes and regulations. Payment must be made in the quarter earned.

11.6 **Alcohol and Drugs in the Workplace.** All faculty must report to work in a condition fit to perform their assigned duties unimpaired by alcohol or drugs. The University and all faculty must comply with the provisions of the Drug-Free Schools and Communities Act, the Drug-Free Schools and Campuses Regulations, the provisions of WAC 172-64 (Alcohol Policy at Eastern Washington University), and the University’s Drug-Free Workplace policy. Faculty may not use or possess alcohol while on duty, except when authorized by the University as part of a University-sponsored event. The possession or use of illegal drugs is strictly prohibited.

11.7 **Compliance With University Confidentiality Obligations.** Faculty will comply with the University’s confidentiality obligations with respect to information disclosed to them in the course of their job duties. The University will notify faculty of these obligations and conduct training as appropriate for faculty exposed to confidential information.

11.8 **Conflict of Interest.** Faculty are bound to observe in all official acts the highest standards of ethics consistent with relevant Washington statutes (RCW’s 42.17 and 42.52), the regulations of the Executive Ethics Board and its advisory opinions, and the relevant ethics policies of the University.

**ARTICLE 12: RECOGNITION**

12.1 **Recognition.** The UFE is the exclusive bargaining representative regarding matters of wages, hours, and terms and conditions of employment, for the bargaining unit as certified by the Public Employment Relations Commission:

All full-time and regular part-time employees of the University who are designated with faculty status, excluding casual or temporary employees as defined in WAC 391-35-350, administrators, confidential employees, graduate student
employees, post-doctoral and clinical employees, and employees subject to Chapter 41.06 or 41.56 RCW.

ARTICLE 13: REDUCTION IN FORCE

13.1 Program Reduction and Discontinuance. The reduction or discontinuation of a program is a function of the University in its regular review of departments and programs, resource allocation and strategic planning. Program review, enrollment trends or severe financial crisis may prompt program discontinuance.

13.1.1 The University will set up its own procedures for program discontinuance. The current iteration of these procedures is contained in “Degree Program Discontinuance at Eastern Washington University” approved by the Academic Senate on January 28, 2002 and subsequently approved by the Board of Trustees.

13.1.2 The University will make reasonable efforts to plan needed changes in educational programs so as to minimize sudden unexpected shifts of staffing of program units. In meeting changes brought about by shifts in student enrollment or program development, it is frequently necessary to change the faculty allocation to a given department or program unit. Such decisions shall be made by the Chief Academic Officer in consultation with the deans and the department chairs in the units affected.

13.1.3 When the Chief Academic Officer believes that the appropriate balance of faculty within a specific department or among the various departments is so distorted that it cannot be corrected without affecting positions held by probationary and/or tenured faculty, the Chief Academic Officer shall so notify the President, the chair of the Academic Senate and the president of the UFE. Before making this determination, the Chief Academic Officer will solicit and consider timely advice from the UFE as to whether the correction can be delayed or avoided by department reconfiguration or revitalization.

13.1.4 If a program is considered for discontinuance, the existing degree Program Discontinuance Policy approved by the Board of Trustees applies. The final responsibility for institutional action shall rest with the Board of Trustees.

13.1.5 In the event that a program has insufficient students, and discontinuing the program does not require the elimination of tenured or tenured track faculty positions, the program may be eliminated without following the process set out in this Section.

13.2 Severe Financial Crisis.

13.2.1 Whenever the President has reason to believe that a severe financial crisis may occur, he/she shall notify the Academic Senate and the UFE president of the nature of the expected emergency and supply supporting documentation.
Within ten (10) working days of receiving notice of a severe financial crisis from the President, the UFE will submit any recommendations for managing the crisis in a report to the president and the Board of Trustees. The Board of Trustees shall be responsible for declaring any state of University-wide severe financial crisis.

13.2.2 On or before such declaration by the Board of Trustees, the President shall develop a plan for meeting the emergency. The final responsibility for institutional action shall rest with the President.

13.2.3 Support of instructional efforts will receive highest priority when a severe financial crisis requires reduction of the funding of University programs.

13.3 Reduction In Force. Reduction in Force is a layoff of faculty due to a severe financial crisis or program discontinuance or reconfiguration.

13.3.1 Except in the case of severe financial crisis, the President of the University shall inform the president of the UFE and the colleges/department(s) affected of intent to implement the reduction in force at least ninety (90) days prior to implementation. The UFE, upon receipt of such written notice, may request a meeting to review the reasons for such intent and to recommend ways to reduce and/or eliminate the need to implement this provision.

13.3.2 Faculty layoffs shall take place utilizing the following priority: quarterly faculty/librarians, special faculty/librarians, tenure-track faculty/librarians, tenured faculty/librarians.

13.3.3 The department(s) affected shall be notified in writing of the necessary reductions by the Chief Academic Officer.

13.3.4 Within fifteen (15) working days of receipt of such notice, the College(s) and Department(s) affected, in a manner to be determined by the deans, after consultation with the UFE, shall designate the positions, including appropriate qualifications, which are required to meet program needs. These positions will be filled by seniority, i.e., date of appointment to rank or special faculty within each department or library, utilizing the priority listed in Section 13.3.2 above, provided the faculty member filling the position has the necessary qualifications. The results of this process shall be placed in writing and sent to the Chief Academic Officer within the time limit specified above.

13.3.5 Following receipt of the position designations from the department(s), the Chief Academic Officer will notify within five (5) working days, those faculty members who are to be laid off.

13.3.6 For layoffs resulting from program reconfiguration or discontinuance, senior lecturers, tenure-track and tenured faculty will be given notice as follows:
(a) Senior lecturers, and tenure-track faculty within the first year of employment, shall be notified no later than March 1, for appointments based on the academic year, or three (3) months prior to the end of an appointment for appointments based on an alternative period.

(b) Tenure track faculty within the second year of employment shall be notified no later than December 1 for appointments based on the academic year, or six (6) months prior to the end of the appointment for appointments based on an alternative period.

(c) Tenure-track faculty with two (2) or more years of uninterrupted tenure track service, and all tenured faculty, shall be notified no later than June 1 for appointments based on the academic year, or twelve (12) months prior to the end of the appointment for appointments based an alternative period.

13.3.7 For layoffs resulting from a severe financial crisis, special faculty lecturers, probationary and tenured faculty will be given notice as follows:

(a) Special faculty, and tenure track faculty within the first year of employment, shall be provided with a minimum of three (3) months’ notice prior to their layoff.

(b) Tenure track faculty within the second year of employment shall be provided with a minimum of six (6) months’ notice prior to their layoff.

(c) Tenure-track faculty with two (2) or more years of uninterrupted tenure-track service, and all tenured faculty, shall be provided with a minimum of twelve (12) months’ notice prior to their layoff.

13.3.8 Every effort shall be made to find suitable employment within the University for faculty laid off under this Article. Tenured faculty shall have the opportunity to obtain up to one (1) year’s retraining, through remunerated leaves, for other programs which have a need for additional or replacement faculty and for which the faculty member is qualified. No faculty may be relocated without consultation with all departments concerned.

13.3.9 If faculty are laid off, they shall be placed on a reemployment list for up to two (2) years from the date of layoff. If two (2) or more faculty within a given department are laid off, the University shall place them on a reemployment list in order of seniority. Should a vacancy be created in that department by departure of one of the remaining department faculty whose position the laid off faculty member is qualified to fill, or an increase in the faculty allocation to that department, such vacancy cannot be filled until qualified faculty members on the reemployment list have been offered the position in order of seniority. Such offer shall be made in writing and shall provide the faculty member thirty (30) days in which to respond. The laid off faculty member shall be
required to return to employment no later than the beginning of the next academic year.

13.3.10 All faculty laid off under the provisions of this Section and who are subsequently rehired as indicated at Section 13.3.9 shall retain all accrued wage levels and benefits.

ARTICLE 14: TERMS OF AGREEMENT

14.1 Application of University Policies. This Agreement supersedes specific provisions of University policy with which it conflicts. Absent such a conflict, faculty members will be subject to all University policies. Issues or concerns regarding misapplication of University policy may be raised by the UFE through the Union-Management Committee process described in Article 15. The University will provide the UFE with forty-five (45) calendar days’ notice, and ample opportunity to provide input, prior to implementation of any proposed policy change during the term of this Agreement that affects faculty working conditions.

14.2 Entire Agreement. This Agreement constitutes the entire agreement between the Parties, and it supersedes any prior written or oral agreements between the Parties.

14.3 Headings. Headings and subheadings in this Agreement are included for ease of reference only. They do not provide full notice of the terms of any portion of this Agreement, and are not relevant to the interpretation of any provision of the Agreement.

14.4 Procedure for Ratification. Upon ratification by the UFE, the Agreement shall be submitted to the Board of Trustees for its approval. The Agreement shall take effect after ratification by the UFE and approval by the Board.

14.5 Savings Provision. If, during the life of this Agreement, any of the provisions contained herein are held to be invalid by operation of law or by any court of competent jurisdiction, or if compliance with or enforcement of any provisions should be restrained by such court pending a final determination as to its validity, the remainder of this Agreement shall not be affected thereby. In the event any provision herein contained is so rendered invalid, upon written request of either party, the University and UFE shall enter into collective bargaining for the purpose of negotiating a mutually satisfactory replacement of such provision.

ARTICLE 15: UFE—UNIVERSITY RELATIONSHIP

15.1 UFE Rights.

15.1.1 The UFE may purchase release time equal to one-half the UFE president or designee’s load on a quarterly basis. The UFE will pay the appropriate part-time faculty rate for the college from which the faculty member is assigned. Appropriate notice will be provided.
15.1.2 The UFE may rent, for a nominal fee, an office on a space available basis.

15.1.3 A copy of the time, place and agenda of all Board of Trustees meetings shall be sent to the UFE president concurrent with distribution to the Board of Trustees members. In addition, the UFE president shall receive copies of the minutes of all Board of Trustees meetings.

15.1.4 The UFE shall designate a faculty representative who will be allowed to attend the regularly scheduled Board of Trustees meetings and report on UFE issues, consistent with the bylaws and procedures of the Board of Trustees.

15.1.5 The UFE shall have the right to payroll deduction of dues and assessments upon the submission of a signed authorization card. The authorization shall be continued year to year unless a faculty member submits a revocation of authorization by October 15th of the year in which he/she wishes to withdraw his/her membership. The University will transmit the collected dues to the UFE per the UFE’s instructions.

15.1.6 The UFE and its representatives shall have the right to schedule the use of University facilities to transact UFE business subject to standard facilities use policies and procedures. Where standard facility charges exist, the UFE will pay the same rate as any other outside, non-University, client.

15.1.7 UFE officers and stewards may make de minimis use of state-owned or operated campus mail, fax machines, the Internet, or intranets for the exclusive purpose of administering this Agreement. UFE officers and stewards may also make de minimis use of state-owned or operated e-mail for the exclusive purpose of administering this Agreement, including scheduling UFE meetings. Except as permitted in this Section, state-owned or state-provided equipment, services or supplies may not be used for conducting internal UFE business; provided that the UFE may use copiers or other normal office equipment by reimbursing the University according to the same reimbursement policies as apply to the other outside, non-University clients.

15.1.8 The University shall furnish to the UFE upon request documents or electronic information concerning its financial resources, expenditures, or other matters reasonably necessary to enable the UFE to carry out its duties as the exclusive bargaining representative for faculty members.

15.1.9 Upon request, the University shall provide the UFE with information regarding each faculty member. Such information shall include University rank, college and department assigned, length of employment within the University, email and University telephone numbers.
15.2 **University Rights.**

15.2.1 The University retains its statutory rights to the general supervision of the institution and the control and direction of expenditures from the institution’s funds. The University has the legal responsibility to carry out the educational mission of the institution. The University reserves the right to manage, direct and supervise all work performed.

15.2.2 These rights include, but are not limited to:

(a) Determining the mission of the University and methods and means necessary to fulfill that mission.

(b) Setting policy for the University, including budgetary and financial decisions related to program and administration.

(c) Establishing and maintaining standards as they relate to curriculum, technology, service and research.

(d) Responsibility for the construction and maintenance of all University facilities, grounds and equipment on and off campus.

(e) Maintaining and improving the efficiency and effectiveness of University operations related to administration and program.

(f) Final authority regarding faculty criteria for hiring and promotion, faculty employment and faculty standards of service, including direction of faculty and evaluation of performance.

(g) The right to make rules, regulations and policies that do not conflict with the provisions of this Agreement.

15.3 **Meet and Discuss.** Representatives of the University and the UFE shall confer at such reasonable times as either party may request to consider problems covered by this Agreement.

15.4 **Notification of Investigations.** A faculty member and the UFE shall be notified of any investigation of his or her files, either electronic or paper, being conducted by the University or the University’s agent in a timely fashion, and in no case later than five (5) days from the beginning of such investigation. Such notification shall include a general description of the nature of the investigation. At the conclusion of the investigation, the employee and the UFE shall be notified of the results of the investigation.

**ARTICLE 16: UNION-MANAGEMENT COMMITTEE**

16.1 **Purpose and Scope of Authority.** The University and the UFE will maintain a Union-Management Committee to provide a forum for communication between the Parties and to promote constructive labor-management relations. Committee meetings will be used
for discussions and shared problem-solving only; the committee shall have no authority
to conduct negotiations or modify the provisions of this Agreement.

16.2 Committee Composition. The Union-Management Committee will consist of up to three
(3) faculty members selected by the UFE, a UFE staff representative, and up to four (4)
representatives selected by the Chief Academic Officer.

16.3 Scheduling of Meetings. Either party may request a meeting of the Union-Management
Committee by sending a written request, including a description of the issue(s) to be
addressed, to the other party. When requested, a committee meeting will be scheduled at
a mutually acceptable time and place. The committee shall meet at least once per quarter,
including summer session, but additional meetings may be scheduled by mutual
agreement.

Effective this 23rd day of June, 2006.

FOR EASTERN WASHINGTON
UNIVERSITY

Kris Mikkelsen, Chair, Board of Trustees
Eastern Washington University

Dr. Rodolfo Arévalo, President
Eastern Washington University

FOR UNITED FACULTY OF EASTERN
WASHINGTON UNIVERSITY

Dr. Anthony Flinn
President, United Faculty of Eastern Washington University

Jeff Corkill
Vice President for Bargaining, United Faculty of
Eastern Washington University

Approved as to Form:

Deborah Danner
Assistant Attorney General

Jeff Wahlquist
Chief Negotiator on behalf of United Faculty of
Eastern Washington University
Advocacy Specialist, Washington Education
Association
Agreements made during the mid-term re-opener are effective this 3rd day of March, 2008.

FOR EASTERN WASHINGTON UNIVERSITY

Jo Ann Kauffman, Chair, Board of Trustees
Eastern Washington University

Dr. Rodolfo Arévalo, President
Eastern Washington University

FOR UNITED FACULTY OF EASTERN WASHINGTON UNIVERSITY

Dr. Anthony Flinn
Vice President for Bargaining, United Faculty of Eastern Washington University

Edward Taub
Chief Negotiator on behalf of United Faculty of Eastern Washington University
Service Representative, United Faculty of Washington State

Approved as to Form:

Deborah Danner
Assistant Attorney General
APPENDIX A

Just Cause Guidelines

Just cause guidelines commonly used by arbitrators are as follows:

1. **NOTICE:** "Did the Employer give to the employee forewarning or foreknowledge of the possible or probable consequences of the employee's disciplinary conduct?"

2. **REASONABLE RULES OR ORDER:** "Was the Employer's rules or managerial order reasonably related to (a) the orderly, efficient, and safe operation of the Employer's business, and (b) the performance that the Employer might properly expect of the employee?"

3. **INVESTIGATION:** "Did the Employer, before administering the discipline to an employee, make an effort to discover whether the employee did in fact violate or disobey a rule or order of management?"

4. **FAIR INVESTIGATION:** "Was the Employer's investigation conducted fairly and objectively?"

5. **PROOF:** "At the investigation, did the 'judge' obtain substantial evidence or proof that the employee was guilty as charged?"

6. **EQUAL TREATMENT:** "Has the Employer applied its rules, orders and penalties even-handedly and without discrimination to all employees?"

7. **PENALTY:** "Was the degree of discipline administered by the Employer in a particular case reasonably related to (a) the seriousness of the employee's proven offense, and (b) the record of the employee in his service with the Employer?"
APPENDIX B

Intellectual Property
APPENDIX C

Statement of Academic Freedom and Tenure, 1940 Statement of Principles

The following Statement of Academic Freedom and Tenure has been adopted as a basic guideline for University policies by action of the Board of Trustees. In the event of any inconsistencies between the Statement and the terms of this Agreement, the Agreement shall prevail.

1. Purpose. The purpose of this statement is to promote public understanding and support of academic freedom and tenure and agreement on procedures to assure them in colleges and universities. Institutions of higher education are conducted for the common good and not to further the interest of either the individual teacher or the institution as a whole. The common good depends on the free search for truth and its free exposition. Academic freedom is essential to these purposes and applies to both teaching and research. Freedom in research is fundamental to the advancement of truth. Academic freedom in its teaching aspect is fundamental for the protection of the rights of the teacher in teaching and of the student to freedom in learning. It carries with it duties correlative with rights.

2. Tenure. Tenure is a means to certain ends; specifically:
   (a) Freedom of teaching and research and of extra mural activities and
   (b) A sufficient degree of economic security to make the profession attractive to men and women of ability. Freedom and economic security; hence, tenure; are indispensable to the success of an institution in fulfilling its obligations to its students and to society.

3. Academic Freedom.
   (a) The teacher is entitled to full freedom in research and in the publication of his/her other academic duties, but research for pecuniary return should be based on an understanding with the authorities of the institution.
   (b) The teacher is entitled to freedom in the classroom in discussing the subject, but he/she should be careful not to introduce into his/her teaching controversial matter which has no relation to his/her subject. Limitations of academic freedom because of religious or other aims of the institution should be clearly stated in writing at the time of the appointment.
   (c) The college or University teacher is a citizen, a member of a learned profession, and an officer of an educational institution. When he/she speaks or writes as a citizen, he/she should be free from institutional censorship or discipline, but his/her special position in the community imposes special obligations. As a person of learning and an educational officer, he/she should remember that the public may judge his/her profession and his/her institution by his/her utterances. Hence, he/she should be at all times accurate, should exercise appropriate restraint, should show respect for the opinions of others and should make every effort to indicate that he/she is not an institutional spokesperson.
4. Academic Tenure.

(a) The 1940 Statement on Academic Freedom and Tenure has been adopted as a guideline for faculty policies and procedures at the UNIVERSITY. As such, its general intent shall be followed in defining rights, duties and obligations of faculty members except that; beginning with the faculty appointed after March 1, 1974; only full-time service at Eastern Washington University will be counted in determining years of probationary service for tenure consideration.

(b) After the expiration of a probationary period, teachers or investigators should have permanent or continuous tenure, and their service should be terminated only for adequate cause, except in the case of retirement for age, or under extraordinary circumstances because of financial exigencies.

(c) In the interpretation of this principle it is understood that the following represents acceptable academic practice:

(i) The precise terms and conditions of every appointment should be stated in writing and be in the possession of both institution and teacher before the appointment is consummated.

(ii) Beginning with appointment to the rank of Assistant Professor or a higher rank, the probationary period should not exceed seven (7) years, including within this period full-time service in all institutions of higher education but subject to the provision that when, after a term of probationary service of more than three (3) years in one or more institutions, a teacher is called to another institution it may be agreed in writing that his/her new appointment is for a probationary period of not more than four (4) years even though thereby the person's total probationary period in the academic profession is extended beyond the normal maximum of seven (7) years. Notice should be given at least one (1) year prior to the expiration of the probationary period if the teacher is not to be continued in service after the expiration of that period.

(iii) During the probationary period a teacher should have the academic freedom that all other members of the faculty have.

(iv) Termination for cause of a continuous appointment or the dismissal for cause of a teacher previous to the expiration of a term appointment, should, if possible, be considered by both a faculty committee and the governing board of the institution. In all cases where the facts are in dispute, the accused teacher should be informed before the hearing in writing of the charges against him/her and should have the opportunity to be heard in his/her own defense by all bodies that pass judgment on the case. He/she should be permitted to have with him/her an advisor of his/her own choosing who may act as counsel. There should be a full stenographic record of the hearing available to the parties concerned. In the hearing of charges of incompetence the testimony should include that of teachers and other scholars, either from his/her own or other institutions. Teachers on continuous appointment who are dismissed for reasons not involving moral turpitude should receive their salaries for a year from the date of notification of dismissal whether or not they are continued in their duties at the institution.

(v) Termination of a continuous appointment because of severe financial crisis should be demonstrably bona fide.
5. **Interpretations.**

(a) At the conference of representatives of the American Association of University Professors and of the Association of American Colleges on November 7-8, 1940, the following interpretations of the 1940 Statement of Principles on Academic Freedom and Tenure were agreed on:

(i) That its operation should not be retroactive.

(ii) That all tenure claims of teachers appointed prior to the endorsement should be determined in accordance with the principles set forth in the 1925 Conference Statement on Academic Freedom and Tenure.

(iii) If the administration of a college or university feels that a teacher has not observed the admonitions of subdivision (c) of subsection (3) and believes that the extra mural utterances of the teacher have been such as to raise grave doubts concerning his/her fitness for his/her position, it may proceed to file charges under subdivision (d) of subsection (4). In pressing such charges the administration should remember that teachers are citizens and should be accorded the freedom of citizens. In such cases the administration must assume full responsibility, and the United Faculty of Eastern is free to make an investigation.
APPENDIX D

Statement of Professional Ethics as adopted by the AAUP June 1987

A. Professors, guided by a deep conviction of the worth and dignity of the advancement of knowledge, recognize the special responsibilities placed upon them. Their primary responsibility to their subject is to seek and to state the truth as they see it. To this end professors devote their energies to developing and in proving their scholarly competence. They accept the obligation to exercise critical self-discipline and judgment in using, extending, and transmitting knowledge. They practice intellectual honesty. Although professors may follow subsidiary interests, these interests must never seriously hamper or compromise their freedom of inquiry.

B. As teachers, professors encourage the free pursuit of learning in their students. They hold before them that best scholarly and ethical standards of their discipline. Professors demonstrate respect for students as individuals and adhere to their proper roles as intellectual guides and counselors. Professors make every reasonable effort to foster honest academic conduct and to ensure that their evaluations of students reflect each student's true merit. They respect the confidential nature of the relationship between professor and student. They avoid any exploitation, harassment, or discriminatory treatment of students. They acknowledge significant academic or scholarly assistance from them. They protect their academic freedom.

C. As colleagues, professors have obligations that derive from common membership in the community of scholars. Professors do not discriminate against or harass colleagues. They respect and defend the free inquiry of associates. In the exchange of criticism and ideas professors show due respect for the opinions of others. Professors acknowledge academic debt and strive to be objective in their professional judgment of colleagues. Professors accept their share of faculty responsibilities for the governance of their institution.

D. As members of an academic institution, professors seek above all to be effective teachers and scholars. Although professors observe that stated regulations of the institution, provided the regulations do not contravene academic freedom, they maintain their right to criticize and seek revision. Professors give due regard to their paramount responsibilities within their institution in determining the amount and character of work done outside it. When considering the interruption or termination of their service, professors recognize the effect of their decisions upon the program of the institution and give due notice of the intentions.

E. As members of their community, professors have the rights and obligations of other citizens. Professors measure the urgency of these obligations in the light of their responsibilities to their subject, to their students, to their profession, and to their institution. When they speak or act as private persons they avoid creating the impression of speaking or acting for their college or university. As citizens engaged in a profession that depends upon academic freedom for its health and integrity, professors have a particular obligation to promote conditions of free inquiry and to further public understanding of academic freedom.
APPENDIX E

Agreement Regarding Modes of Instruction

A. Introduction

Recognizing that the aim of the college/school is to strike a balance between meeting student enrollment pressures, the pedagogy of respective disciplines, and budget constraints, each academic unit and department has the responsibility of allocating its resources in a prudent manner. Each course within a department should be categorized by mode, level and class size. This policy should be approved by the unit dean. Written justification outlining mitigating factors for exceptions to the ranges for the modes of instruction described above, including accreditation considerations and historical records of enrollments for the course, must be provided by the department chair after negotiation with the affected faculty member/s, and approved by the unit dean.

The following lower and upper ranges discussed are fundamentally intended as guidelines for generalized administration responses to program plans on a departmental and interdepartmental basis. It is clear that strict adherence to these guidelines will not provide a suitable practical basis for decisions to reconcile cost efficiency and intellectual needs of either students attending EWU or citizens residing in the surrounding region.

B. Modes of Instruction

1) Mode: LECTURE
   SIS Activity Code: LEC
   Class Size Range:
   - 100 – 200 Level: [20 to 140]
   - 300 – 400 Level: [15 to 80]
   - Graduate Level: [10 to 60]

   General Description:
   This is the traditional instructional mode of university courses. In this mode, the instructor directly presents information to a group of students. This mode of instruction involves the standard “lecture format” of traditional university courses in which the instructor is primarily a provider of information, and students are recipients of that information, although there may be some limited dialogue between students and instructor.

2) Mode: LECTURE WITH LAB
   SIS Activity Code: LEL
   Class Size Range:
   - 100 – 200 Level: [20 to 80]
   - 300 – 400 Level: [15 to 60]
   - Graduate Level: [10 to 40]

   General Description:
   This mode of instruction is similar to the lecture mode in that the instructor directly presents information to a group of students, although there may be some dialogue between students and instructor. Additionally, professors supplement lectures with some laboratory work as a minor part of the course with possible collaboration among students.
3) Mode: LECTURE WITH PRACTICE OR DISCUSSION  
SIS Activity Code: LPD  
Class Size Range: 100 – 200 Level 300 – 400 Level Graduate Level  
[ 20 to 80 ] [ 15 to 60 ] [ 10 to 40 ]

General Description:
This mode of instruction is similar to the lecture mode in that the instructor directly delivers information to a group of students. Additionally, instructors incorporate a substantial amount of student practice of lecture material and/or class discussion of lecture material into the classroom experience that may include small group work.

4) Mode: SEMINAR AND DIALOGUE  
SIS Activity Code: SEM  
Class Size Range: 100 – 200 Level 300 – 400 Level Graduate Level  
[ 15 to 30 ] [ 10 to 25 ] [ 10 to 25 ]

General Description:
This mode of instruction has a format similar to the lecture mode in that the instructor and the students engage in the direct exchange of information. However, the typical seminar/discussion course is less formal in structure, and may require the students themselves to present, orally and/or in written form, new information to one another and to the professor. In general, this mode of instruction is more interactive between the instructor and the students, and among the students, than the lecture mode, and involves the analysis and synthesis of information gathered through other modes of instruction.

5) Mode: LABORATORY  
SIS Activity Code: LAB  
Class Size Range: 100 – 200 Level 300 – 400 Level Graduate Level  
[ 10 to 40 ] [ 10 to 40 ] [ 10 to 40 ]

General Description:
This mode of instruction requires students to practice and explore principles, theories, and methods in a controlled laboratory environment. The instructor assists students in using instrumentation specific to a discipline and helps students acquire applied skills. Students receive less direction from the instructor and have more independence in this mode than in the “lecture with laboratory” mode.

6) Mode: PERFORMANCE AND SIMULATION  
SIS Activity Code: PAS  
Class Size Range: 100 – 200 Level 300 – 400 Level Graduate Level  
[ 10 to 30 ] [ 10 to 30 ] [ 10 to 30 ]

General Description:
This mode of instruction requires students to practice principles, skills, theories, and methods in a simulated environment other than a laboratory. The instructor assists students performing or
simulating tasks specific to a discipline, and helps students acquire applied skills. Students receive moderate direction from the instructor and have considerable independence in this mode.

7) Mode: FIELD APPLICATION  
SIS Activity Code: FIE  
Class Size Range: 100 – 200 Level 300 – 400 Level Graduate Level  
[ 10 to 30 ] [ 10 to 30 ] [ 10 to 30 ]

General Description:  
This mode of instruction typically involves study in an applied setting distinct from the traditional classroom setting and university environment, and can include intensive experiences in outdoor settings. Students apply theories, principles, methods, and skills of practice acquired through other modes of instruction to an applied or natural setting where professionals, in the student’s field of study, are typically engaged.

8) Mode: INDEPENDENT STUDY  
SIS Activity Code: IND  
Class Size Range: Usually limited to one (1) enrolled student

General Description:  
In this mode of instruction, an instructor and student meet independently and set goals for the student to reach by the end of the academic term. This mode may include a research project or research literature reports developed by a student under the supervision of an instructor. Faculty credit=0.2 x CR.

9) Mode: THESIS OR RESEARCH PROJECT  
SIS Activity Code: THE  
Class Size Range: Usually limited to one (1) enrolled student

General Description:  
This mode of instruction represents a summative assessment of the student’s academic competence in his or her field of study. It is distinguished from the summative experience of the field study that focuses mainly on the student’s professional application of skills, knowledge, and techniques in the applied or natural setting. The thesis or research project typically involves the preparation and defense of a research document. Faculty credit=0.2 x CR

C. Exceptions

Exceptions to the class size guidelines for any mode must be approved by the appropriate unit dean. Such exceptions include, but are not limited to, the following.
(1) Exceptions to Upper Class Range:

(a) Unanticipated over-enrollments which cannot be resolved by adding sections when failure to over-enroll would represent a substantial hardship to students.
(b) When large lecture sections break down into smaller laboratory or recitation sections for at least 20% of the students’ classroom contact.
(c) When tutoring assistance is specifically arranged for the enrollments involved.
(d) When unanticipated faculty reductions due to illness, death and so on, create a temporary necessity for large sections which cannot be avoided by other means.
(e) When classroom space availability is limited and large sections cannot be avoided by other means. This can happen through temporary activities such as building remodeling, or for unanticipated reasons such as structural damage resulting from fire, flood, earthquake or windstorm.
(f) When circumstances determine that full use of a large technology-enhanced lecture hall is needed (e.g., JFK library – 189 capacity, Showalter Hall Room 109 – 300 capacity, Martin Hall, Room 158 – 248 capacity).

(2) Exceptions to Lower Class Size Range:

(a) When due to cross-listings where two (2) or more courses are actually taught as one and are credited to the instructor as one course or some other arrangement, such as “stacking” where an instructor teaches multiple levels at the same time.
(b) Whenever a small laboratory or performance and simulation section of a larger section cannot be eliminated by shifting students to unfilled larger sections, and when such laboratory or recitations section represents 50% or less of the credit value of the class.
(c) When a new course is offered for the first or second time.
(d) When a low enrollment course is a specific graduation requirement, necessary for degree progress, or when elimination of the course would unduly delay expected graduation.
(e) When a course is required to ensure student completion of a program due to discontinued or “banked” programs, or due to conflicting mandates (e.g., an expectation for dual site offerings).
(f) When the assigned faculty limits accommodation of the range guideline.

(3) It should be understood that some deviations from class size guidelines are not predictable before students enroll and that a decision to proceed despite the standard guidelines is often the best response to the implicit obligation of the college to its students. It is the responsibility of the unit dean, in cooperation with the department chair, to investigate such cases and, if advisable, to attempt to prevent their future occurrence.
D. Requirement of Administrative Discretion/Responsibility of Unit Dean and Department Chair

(1) When sections of a certain group of courses satisfying general educational requirements have low enrollments, the whole class of offering should be reviewed and a scheme for reducing the number of sections should be devised. When courses essential for progress in a major field of study have low enrollments, the dean and department chair should verify that sufficient alternative courses are offered for normal progress and that surplus offerings are scrupulously avoided. When section enrollments tend to press upper class size range, the number of sections should be increased.

(2) The unit dean, in cooperation with the appropriate department chair, remains responsible for making decisions which reconcile the divergent demands of quality standards, student needs and cost efficiency.

(3) The major and minor programs which are essential to the integrity of a liberal arts institution must not be subject to cancellation or suspension due to low enrollments. In addition to assuring major and minor programs, the application of standards for minimum class sizes should be sufficiently lenient to encourage variety in the offerings which satisfy general educational requirements, to permit departments to offer courses which are needed to complement the major programs of students in other departments, and to enable innovations and experimentation.

*Note:* It is recommended that on-line templates be developed for use when requesting an exception due to the class size ranges identified above for any given course.
APPENDIX F

Definitions

The following terms are defined and/or explained in the Agreement in the Article or Section described:

Assistant Professor – Defined in Section 2.1.9.

Associate Professor – Defined in Section 2.1.10.

Faculty Activity Plan (“FAP”) – Described in Section 10.3.

Faculty in Residence – Defined in Section 2.1.14(c).

Full Professor – Defined in Section 2.1.11.

Grievance – Defined in Section 7.2.

Lecturer/Library Associate – Defined in Section 2.1.14(a).

Librarian II – Defined in Section 2.1.13(a).

Librarian III – Defined in Section 2.1.13(b).

Librarian IV – Defined in Section 2.1.13(c).

Overload – Defined in Section 10.4.8.

Quarterly Faculty – Defined in Section 2.1.15.

Reduction in Force (including the concepts of “layoff,” “recall” and “seniority”) – Described in Article 12.

Senior Lecturer/Senior Library Associate – Defined in Section 2.1.14(b).

Tenure – The tenure process is described in Section 2.2; the concept of tenure is also described in Appendix C.

Tenure-Track/Probationary Faculty – Described in Section 2.1.8.

Workplan – Described in Section 11.4.6(c)(ii).